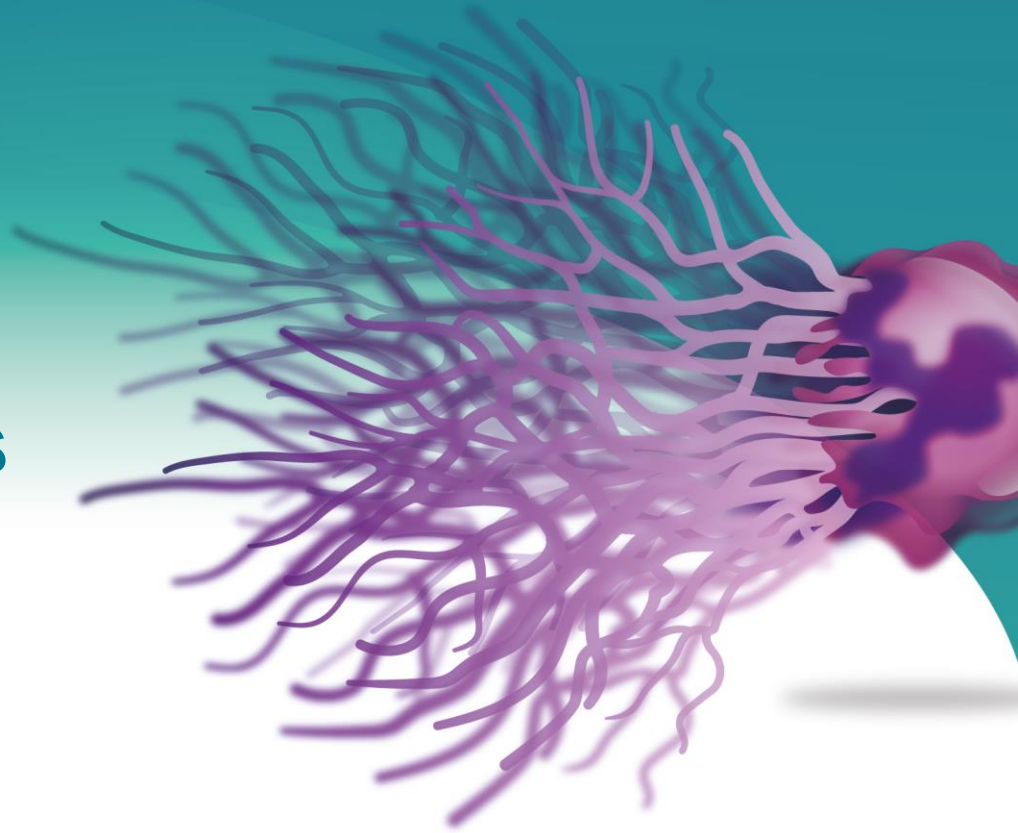


# NETs: Casting a new light on sepsis management



# Bienvenue!

Professor Djillali Annane

# Neutrophil Extracellular Traps (NETs)

## NETs:

- are produced by ejecting chromosomal material out of the cell
- catch and kill bacteria and viruses
- can sterilize blood in minutes
- first reported in 2004<sup>1</sup>
- now the subject of > 5000 publications



1. Brinkmann V, Reichard U, Goosmann C, Fauler B, Uhlemann Y, Weiss DS, Weinrauch Y, Zychlinsky A. Neutrophil extracellular traps kill bacteria. Science. 2004 Mar 5;303(5663):1532-5. DOI: [10.1126/science.1092385](https://doi.org/10.1126/science.1092385)

# 1 in 5 deaths worldwide are associated with sepsis

Almost **50 million** cases resulting in **11 million** deaths

**Over 40%** of cases are children under 5 years of age

It's the **number 1...**

Cause of death in hospitals

Cause for hospital readmissions

Healthcare cost (\$62bn in USA pa alone)

Over **40%** of survivors suffer from long-term physical or psychological effects

# Volition's Mission



**Develop a low-cost, easy-to-use, rapid diagnostic test to save lives and improve outcomes for patients worldwide.**

**We are here to present Nu.Q<sup>®</sup> NETs H3.1 assay, a novel, clinically relevant biomarker which has the potential to change the management of patients with sepsis.**

# Executive Summary: consolidated conclusions

Results from three independent studies totalling over 3,000 patients

These findings are consistent across cohorts<sup>1-3</sup>

**An elevated H3.1 level** reflects a dysregulated immune response and is associated with:

- a risk of **increased mortality**
- an increased risk of **septic shock**
- an increased risk of **(multi-) organ failure**
- an increased risk of **ARDS**
- an increased risk of **renal failure**

...could be thought of as a **Treatable Trait** in sepsis management

# Speakers



## Dr. Andrew Retter

Clinical Lead in Critical Care Medicine, ECMO and Thrombosis

Chief Medical Officer at VolitionRx, UK



## Terry Kelly, PhD

Chief Innovation Officer, VolitionRx, USA



## Dr. Caroline Neumann

Senior Consultant in Intensive Care Medicine, Jena University Hospital, Germany

# Why is H3.1 key: the biology & scientific rationale

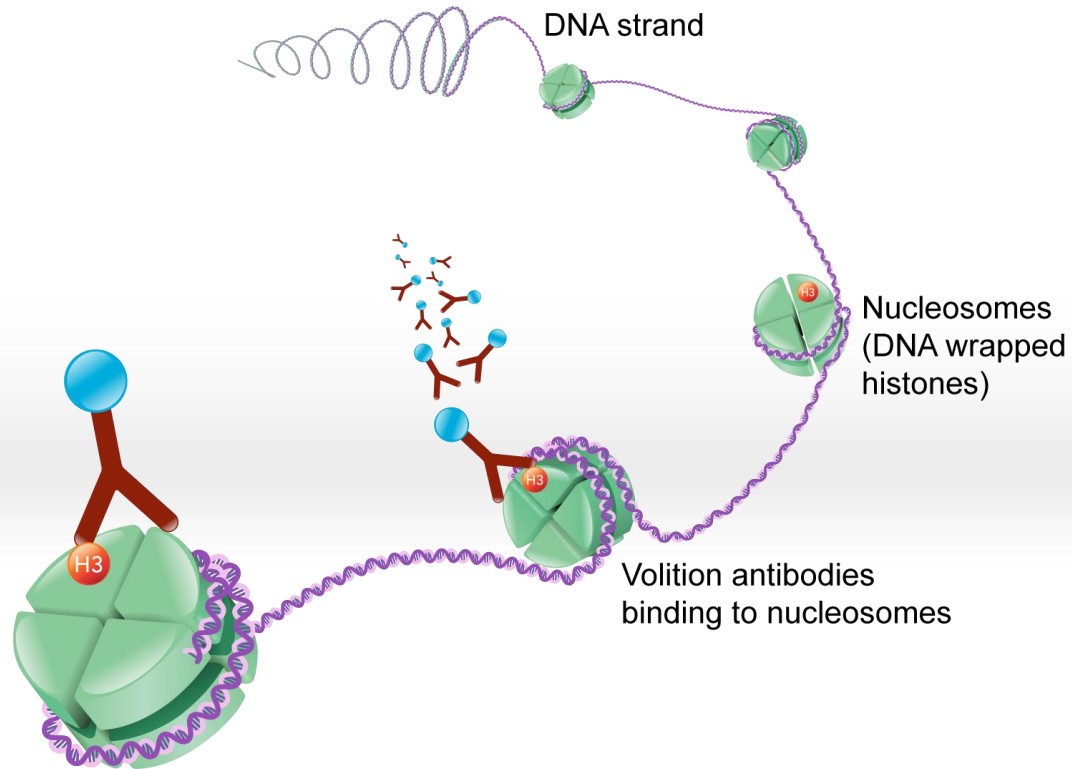
**Dr. Andrew Retter,**  
MBBS, MRCP, FRCPath (Haem), DICM, FFICM  
Clinical Lead in Intensive Care, ECMO and  
Thrombosis



# Conflicts of interest to declare

- Employee and shareholder of VolitionRX Limited

# Nucleosomes and histones:



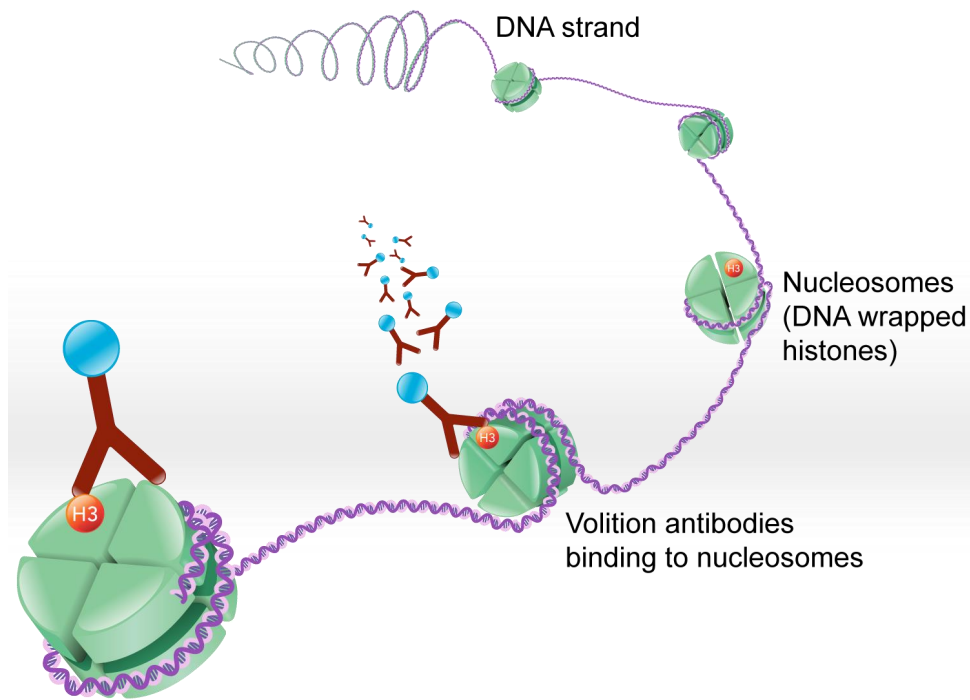
# Nucleosomes and histones:

## Key message:

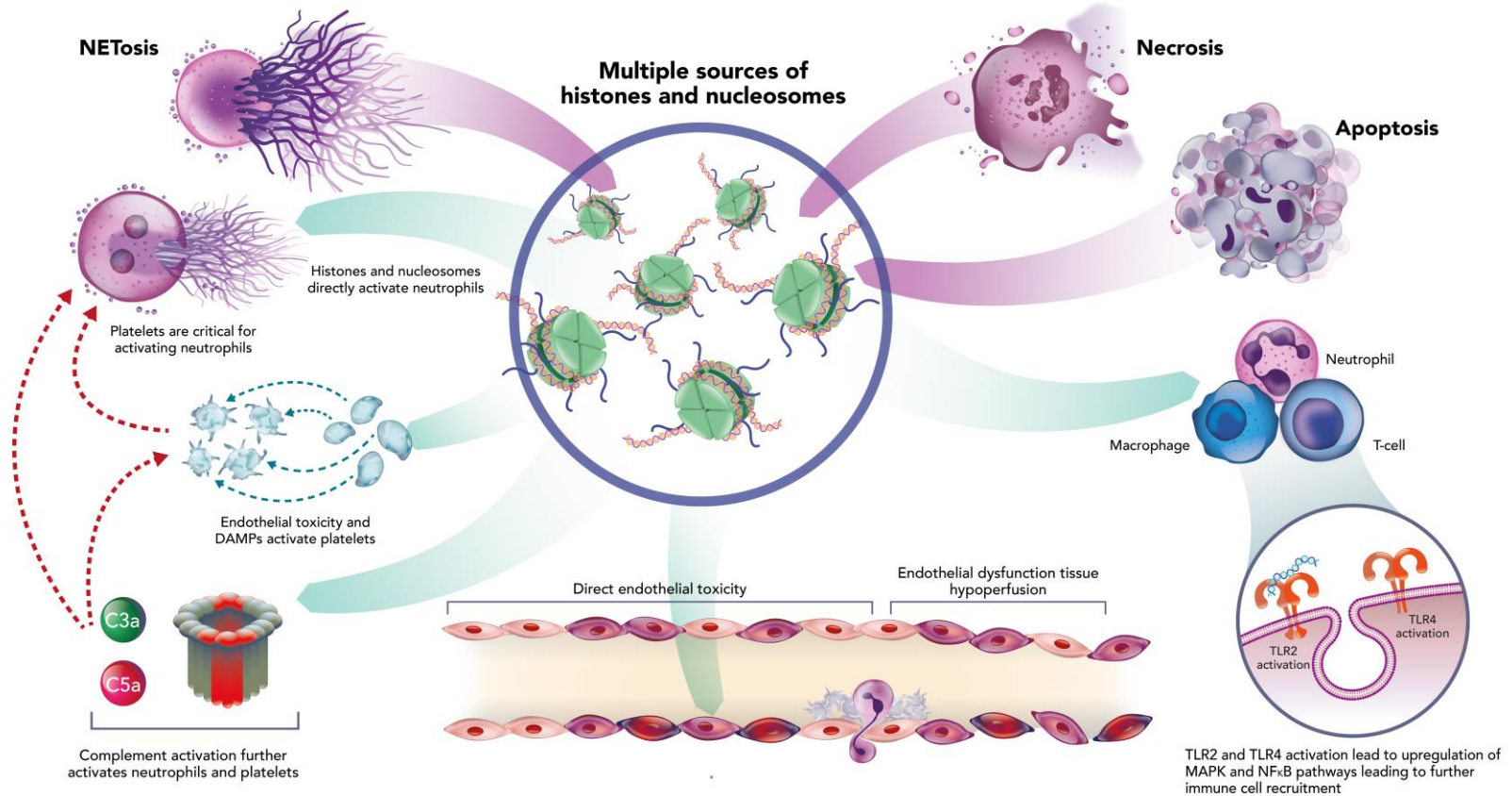
The H3.1 assay can detect nucleosomes using chemiluminescence technology and provide a result within 15 minutes

The lower limit of quantification is 20ng/ml

The upper limit of quantification is 20,000ng/ml



# H3.1 as a Damage-Associated Molecular Protein

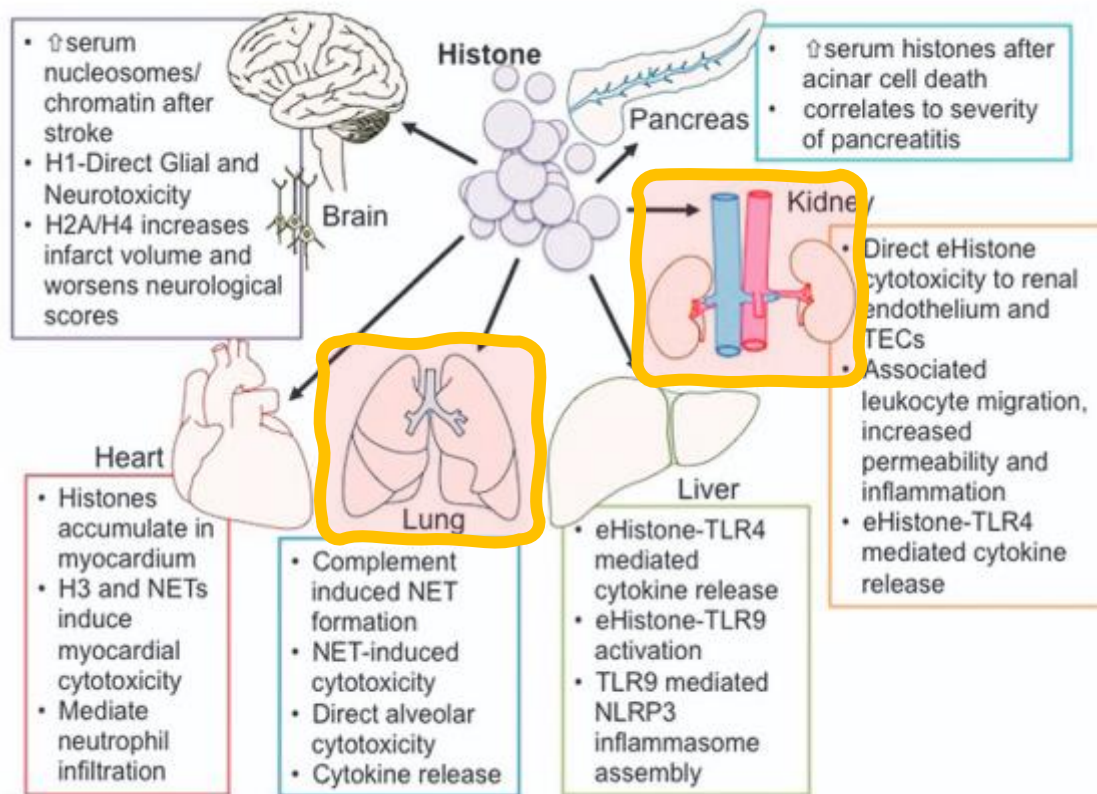


Silk et al, Cell Death & Disease, 2017 <http://dx.doi.org/10.1038/cddis.2017.52>

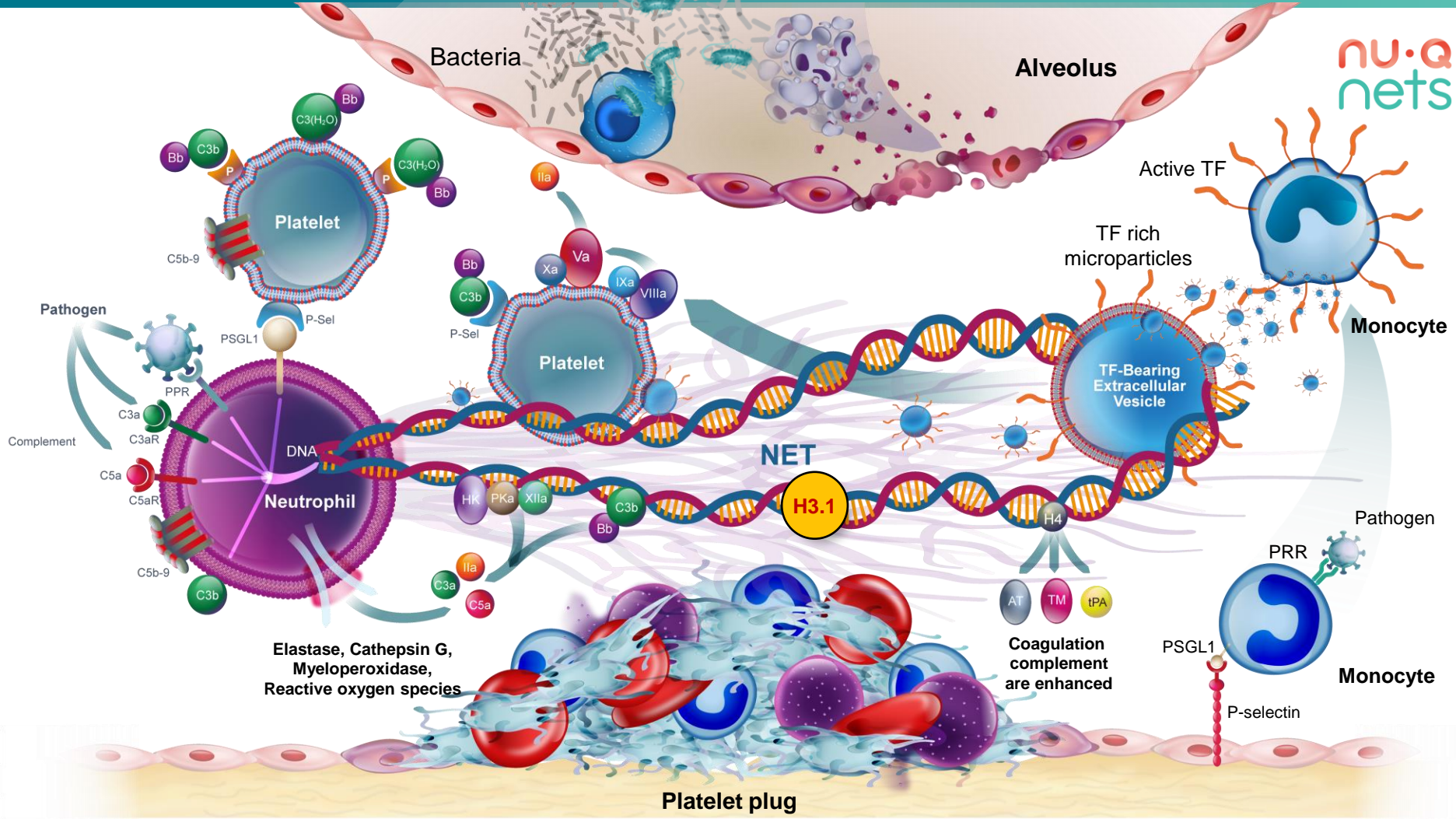
**H3.1 sits as a triumvirate of innate immunity, inflammation and coagulation.**

**The majority of extracellular pathology is due to the indiscriminate binding of anionic components of the circulation and vasculature.**

# Extracellular Histones and Organ Injury







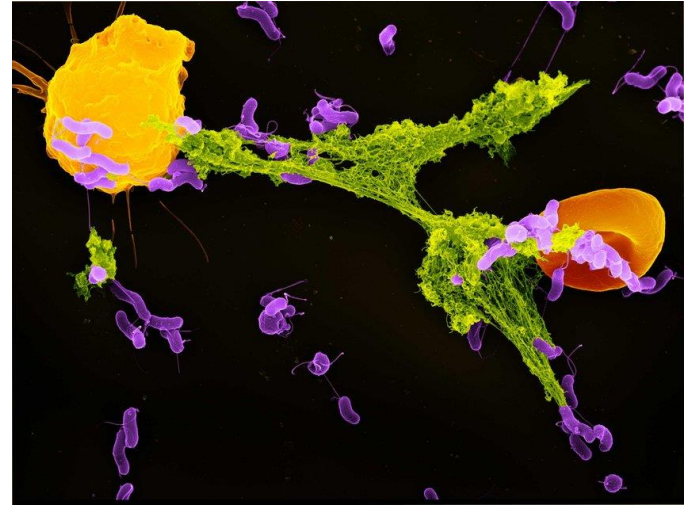
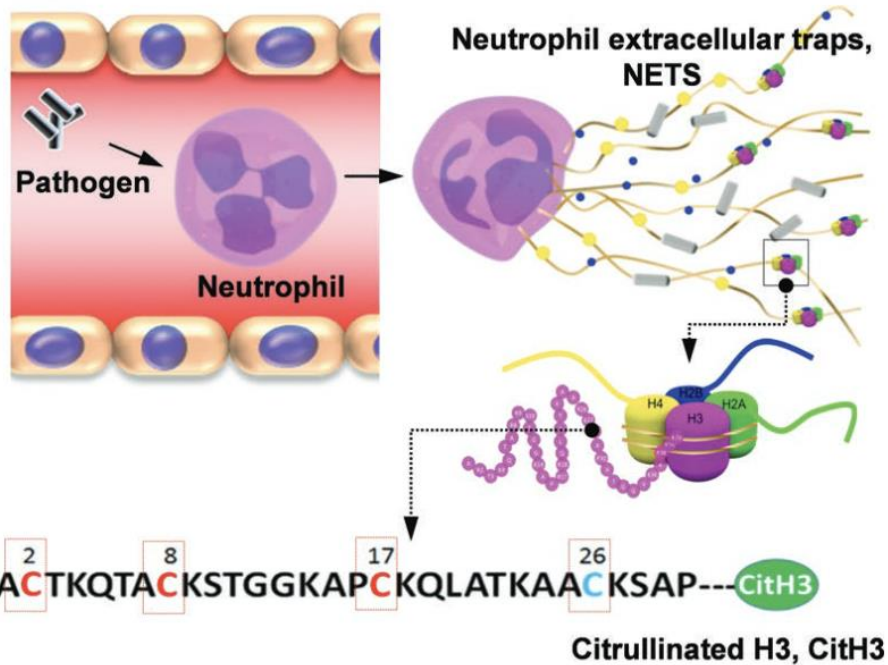
# The role of H3.1 in NETosis:

*...proving we are measuring  
what we say we are measuring!*

Terry Kelly, PhD.,  
Chief Innovation Officer, Volition

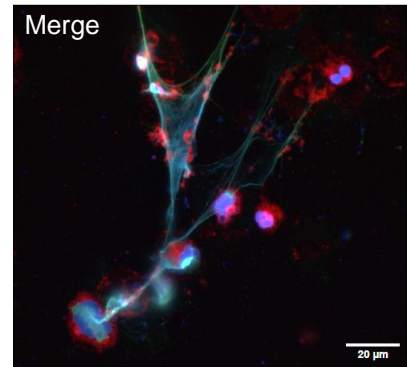
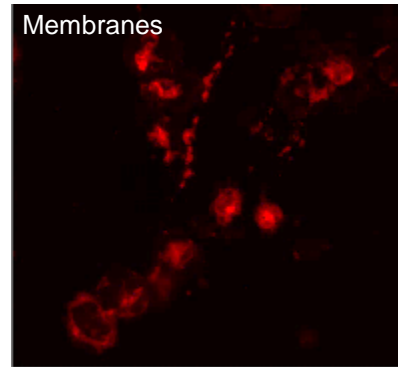
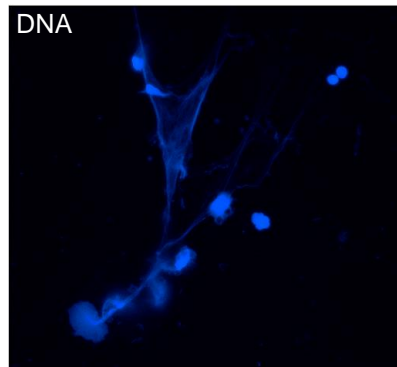
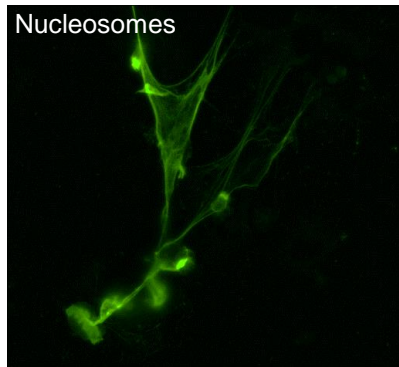
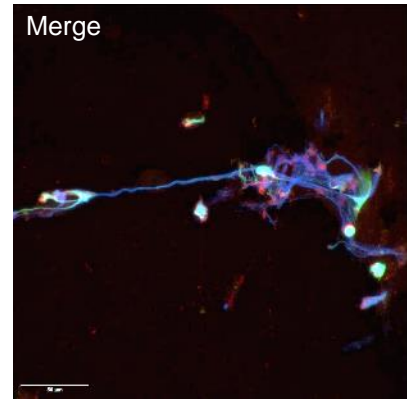
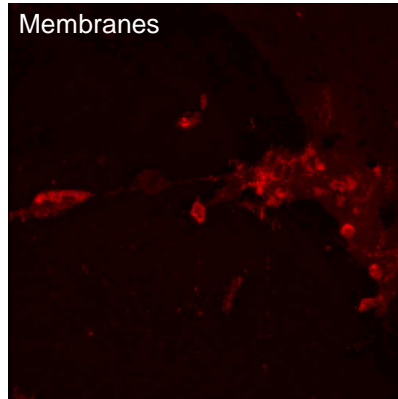
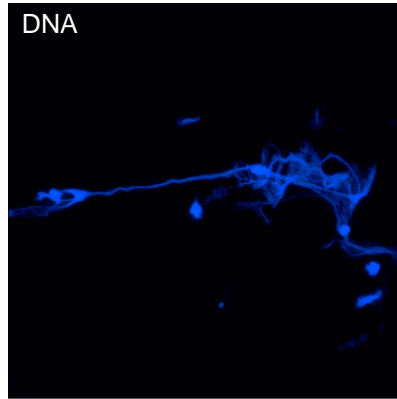
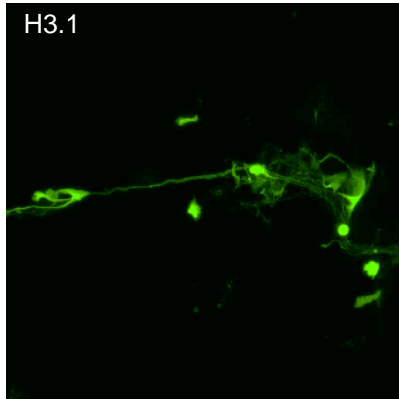


# NETs Contain Proteins and Trap Pathogens

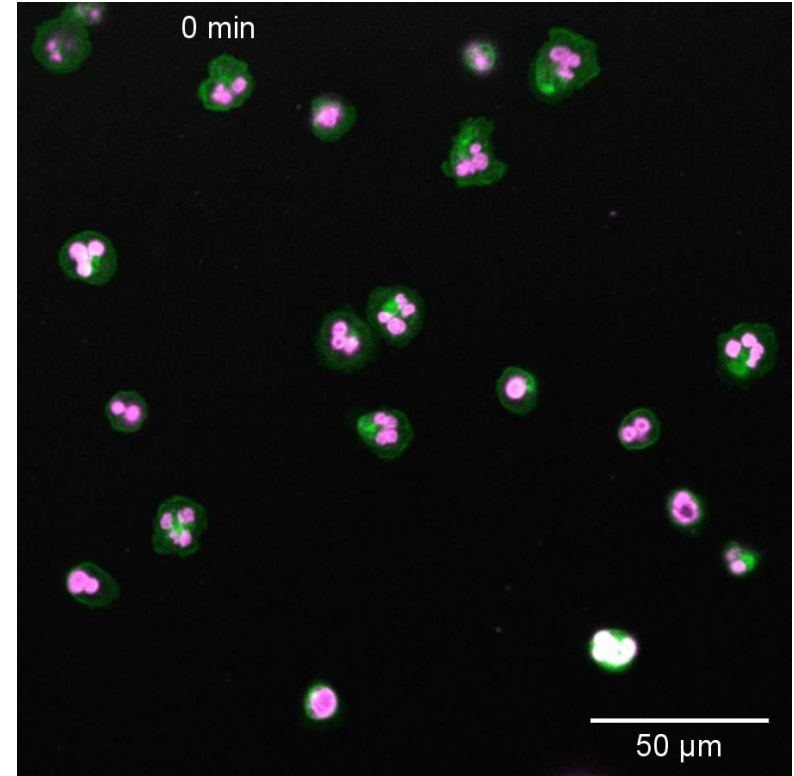
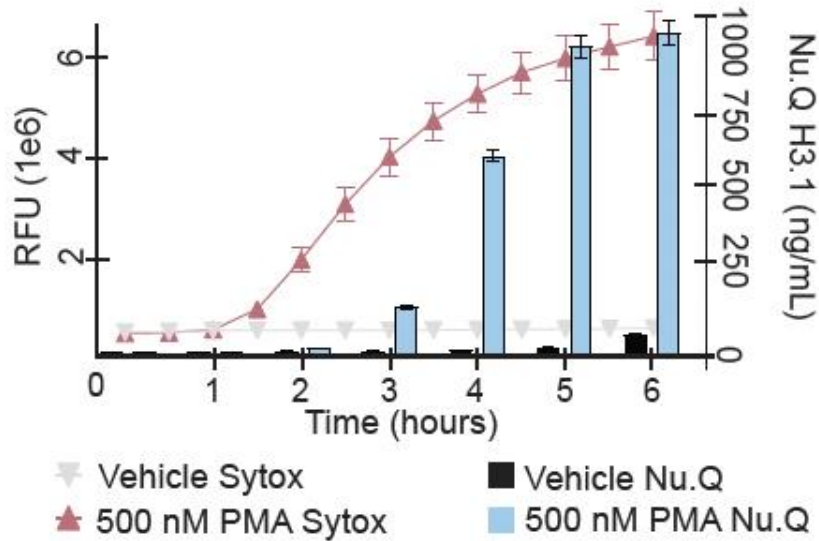


A neutrophil granulocyte (yellow) has ejected a NET (green) to capture bacteria (purple). A red blood cell (orange) is also trapped in the NET. Stained scanning electron microscope image by Volker Brinkmann.  
© Volker Brinkmann / Max Planck Institute for Infection Biology

# Nu.Q<sup>®</sup> Antibodies Label NETs in Isolated Neutrophils



# H3.1 Nucleosome Levels Increase With NETosis



Zukas et al, Journal of Thrombosis & Hematology, 2024  
<https://doi.org/10.1016/j.jtha.2024.05.028>

JTH Commentary, Sept 2024  
<https://doi.org/10.1016/j.jtha.2024.06.016>

# Kinetic Information

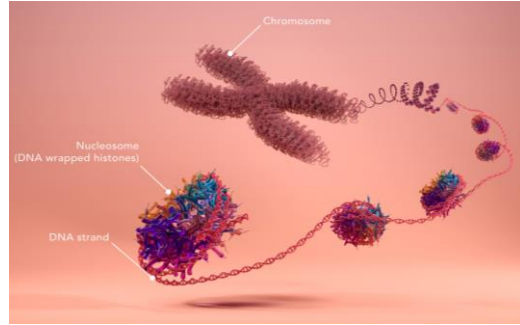
**H3.1 is not impacted by height, weight, age, sex<sup>1</sup>**

**H3.1 is not impacted by the circadian rhythm<sup>2</sup>**

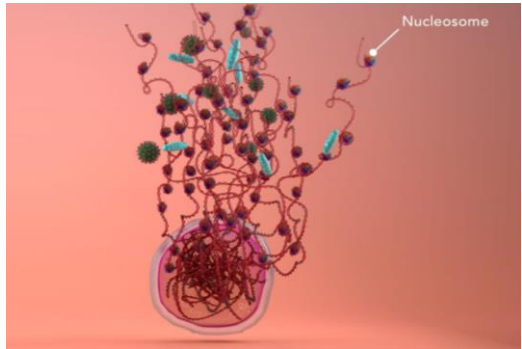
1. German Data Set, data on file; 2. RHU Records Data Set, data on file

# cfDNA Profiles Vary Across Disease and Cell Death Mechanisms

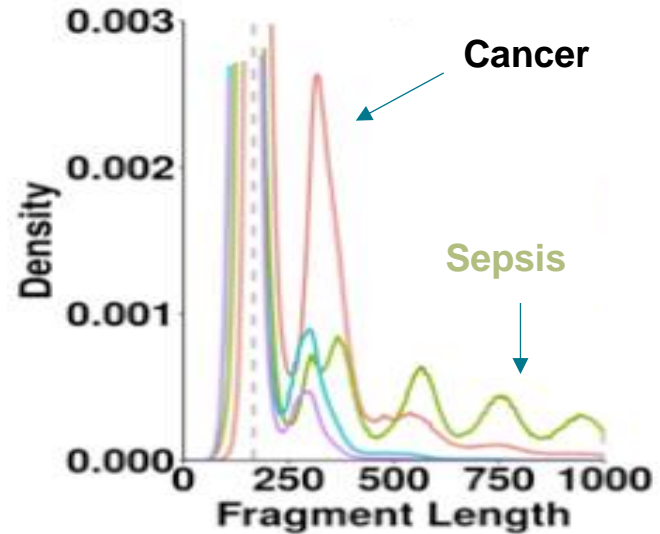
Apoptosis



NETs



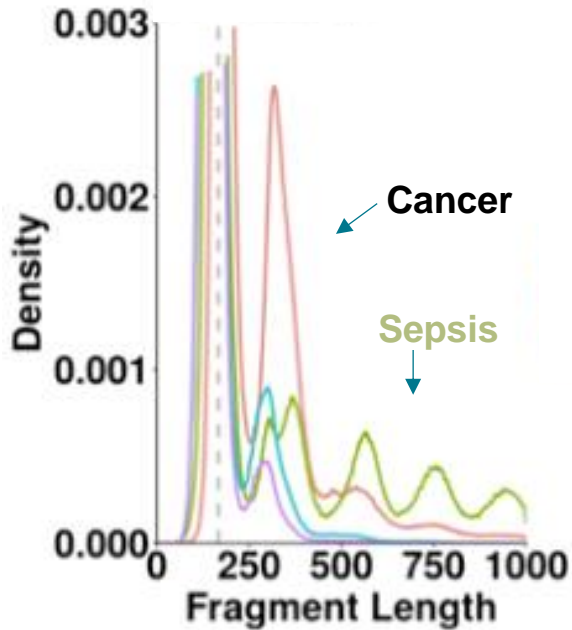
### Length of Plasma Derived DNA



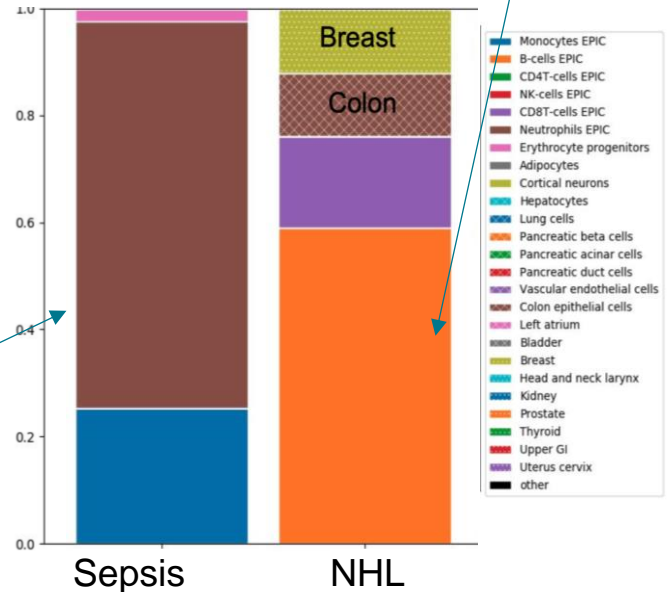
Circulating nucleosome levels increase as they are released faster than they can be removed

# Size Distribution Distinguishes Sepsis from Cancer & DNA Methylation Patterns Identify Cell of Origin

Length of Plasma Derived DNA

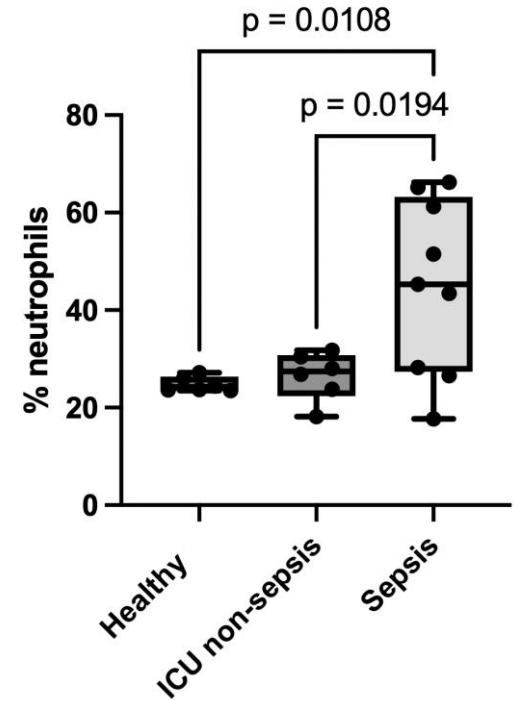
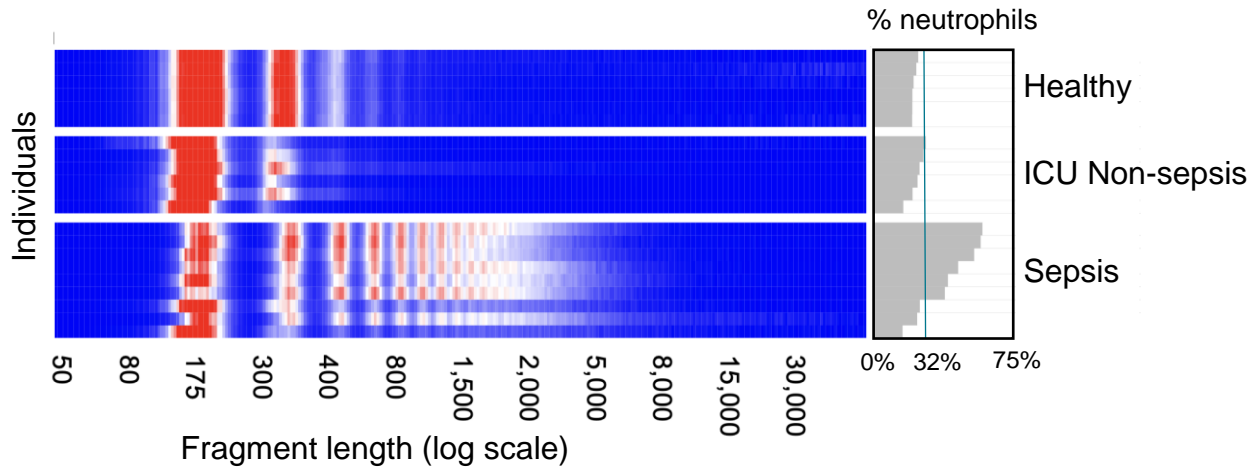


cfDNA from NHL sample primarily derived from B-cell (B-Cell Lymphoma)



cfDNA from sepsis sample primarily derived from Neutrophils (i.e. NETs)

# cfDNA Derived From Neutrophils is Enriched in Sepsis Patients





# Clinical Data

Dr. Andrew Retter



# KOL meeting: Sept 2024

Chaired by **Professor Djillali Annane**, Professor of Medicine at University Paris Saclay-UVSQ.

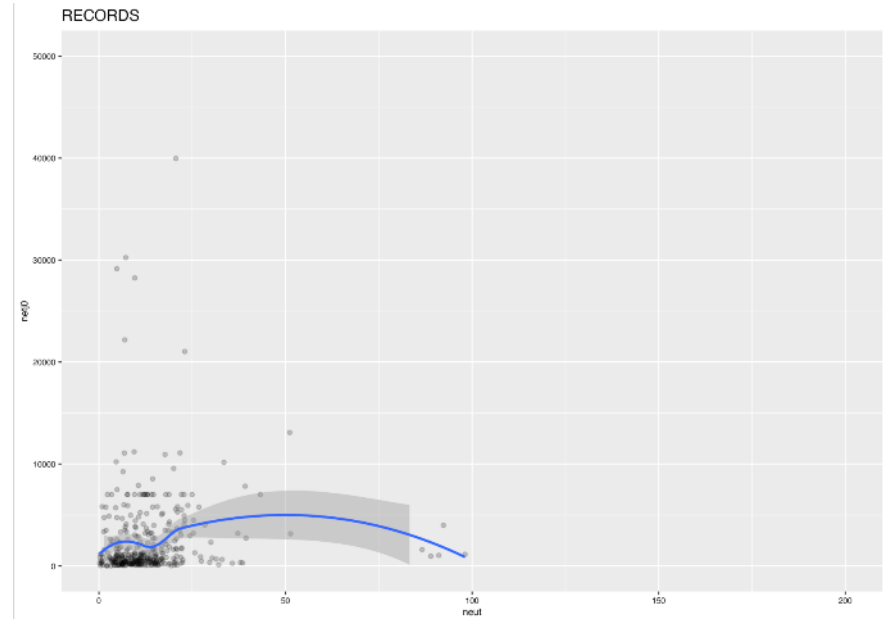
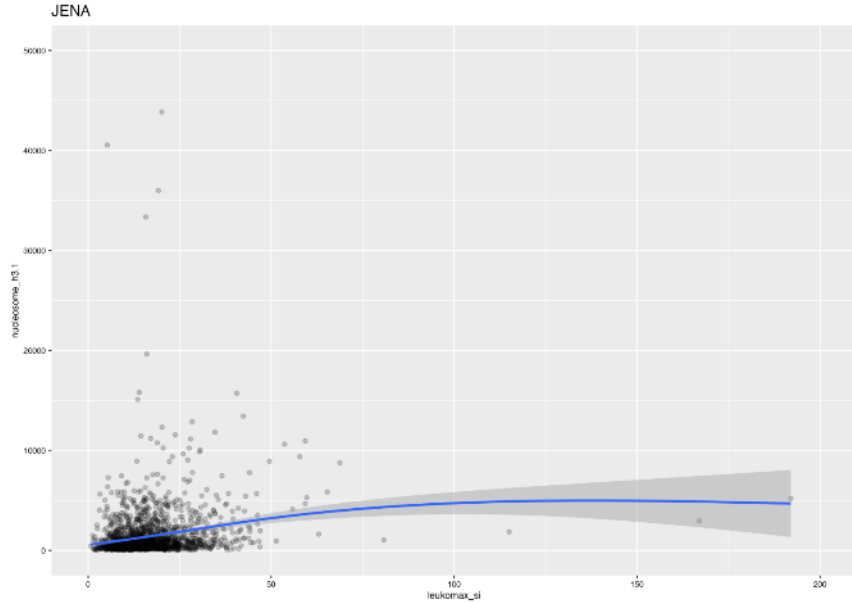
- **Professor Derek Angus**, Professor and Chair of the Critical Care Medicine Department at the University of Pittsburgh. (Partial attendance; virtual)
- **Professor Michael Bauer**, Professor and Chair of the Department of Anaesthesiology and Intensive Care Medicine at Jena University Hospital, Germany.
- **Dr. Lieuwe Bos**, Principal Investigator within the Intensive Care department at Amsterdam UMC, and associate professor at the University of Amsterdam. (Partial attendance)
- **Professor Luc de Chaisemartin**, Professor of Immunology at Paris-Cité University, and Head of the Biological Immunology Department at Bichat Hospital, Paris.
- **Dr. Charles Dehout**, attending physician at Erasmus Hospital in Brussels.
- **Professor Evangelos J. Giamarellos-Bourboulis**, National and Kapodistrian University of Athens, Greece and Chair of the European Sepsis Alliance.
- **Dr. Caroline Neumann**, Senior Consultant in Intensive Care Medicine at Jena University Hospital, Germany.
- **Dr. Andrew Retter**, Clinical Lead in Critical Care Medicine, ECMO and Thrombosis, and Chief Medical Officer at Volition.
- **Professor Mervyn Singer** OBE, University College London, UK. Co-chair of the Sepsis-3 Definitions International Task Force.



# Studies at Centers of Excellence: >3000 patients

Study	Country	Description	Cohort Size
SISPCT	Germany	Retrospective analysis of prospectively collected cohort	971 intensive care patients Multiple timepoints
Amsterdam UMC	Netherlands	Retrospective analysis of prospectively collected cohort	1,713 intensive care patients Multiple timepoints
RHU RECORDS	France	Prospective, multi-center, placebo controlled, bio-marker-guided, adaptive Bayesian design basket trial	1,500 intensive care patients Interim analysis of 416 patients

# H3.1 only correlated weakly with the neutrophil count

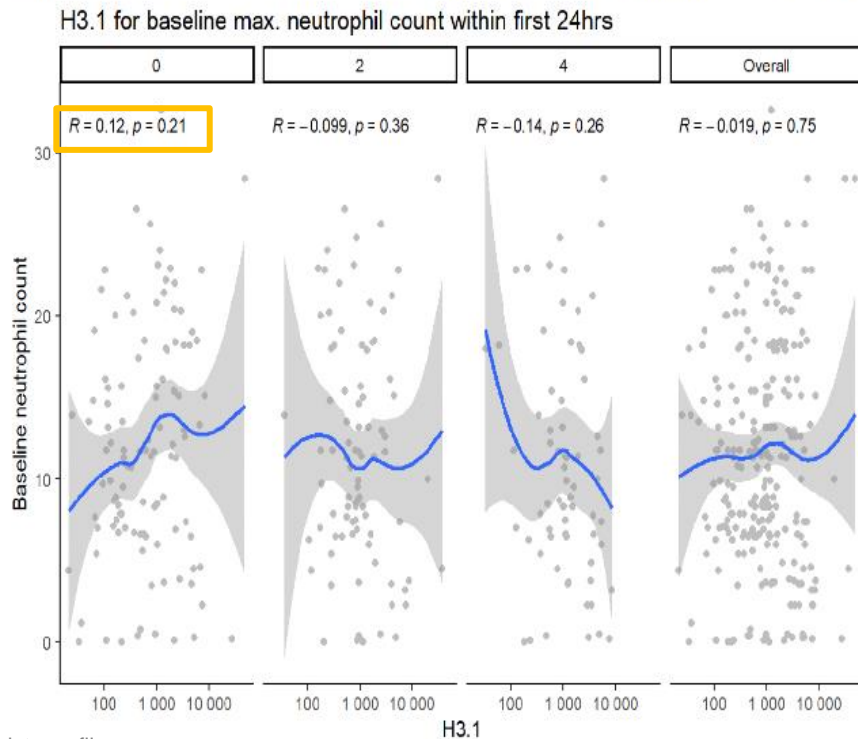


1. German Data Set, data on file; 2. RHU Records Data Set, data on file

# H3.1 only weakly correlated with the neutrophil count

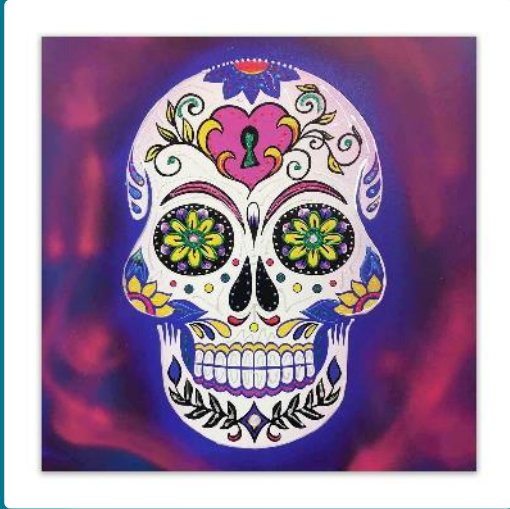
6/22/24, 6:06 PM

Plasma nucleosomes as diagnostic and prognostic biomarker for organ failure



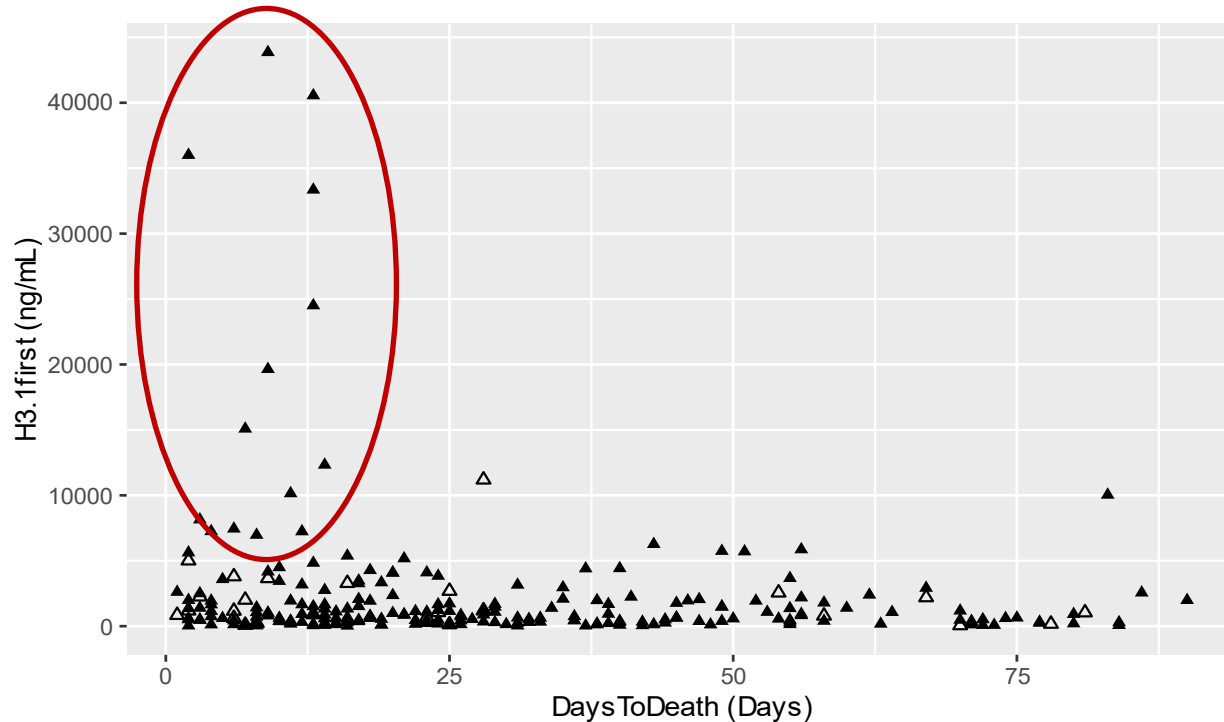
This is critical as H3.1 is giving us NEW information!

You don't just have to measure an FBC.



# H3.1 predicts mortality

# Initial H3.1 readings above 10,000 predicted mortality within 14 days



### Diagnosis

- ▲ septic shock
- △ severe sepsis

Overall survivors  
not plotted

German Data Set, data on file

All this work is based on admission H3.1

# Mortality risk for different levels of H3.1 on admission

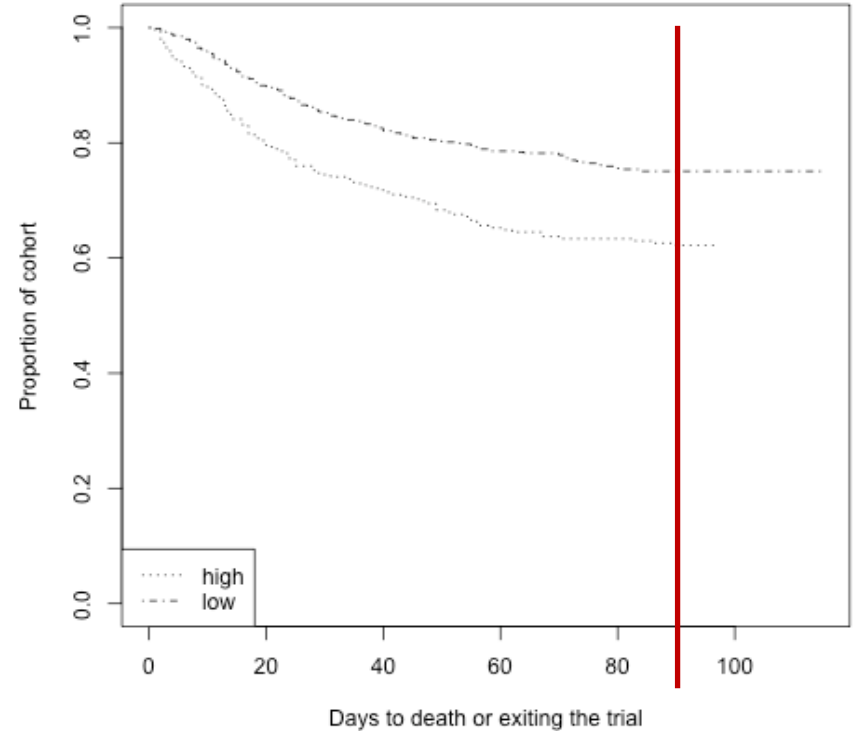
14 day mortality	Survivor		Total	Risk
	Yes	No		
>20,000	0	5	5	100%
10,000-20,000	12	4	16	25%
1,000-10,000	264	36	300	12%
<1,000	508	40	548	7%
<b>Total</b>	<b>784</b>	<b>85</b>	<b>869</b>	<b>10%</b>

# Kaplan-Meier plot of survival based on initial H3.1

## – high > 1,143.3 ng/mL

H3.1\_first 7.080e-05 1.000e+00 1.195e-05 5.923 **3.16e-09 \*\*\***  
 ---

Kaplan Meier plot of DaysInTrial



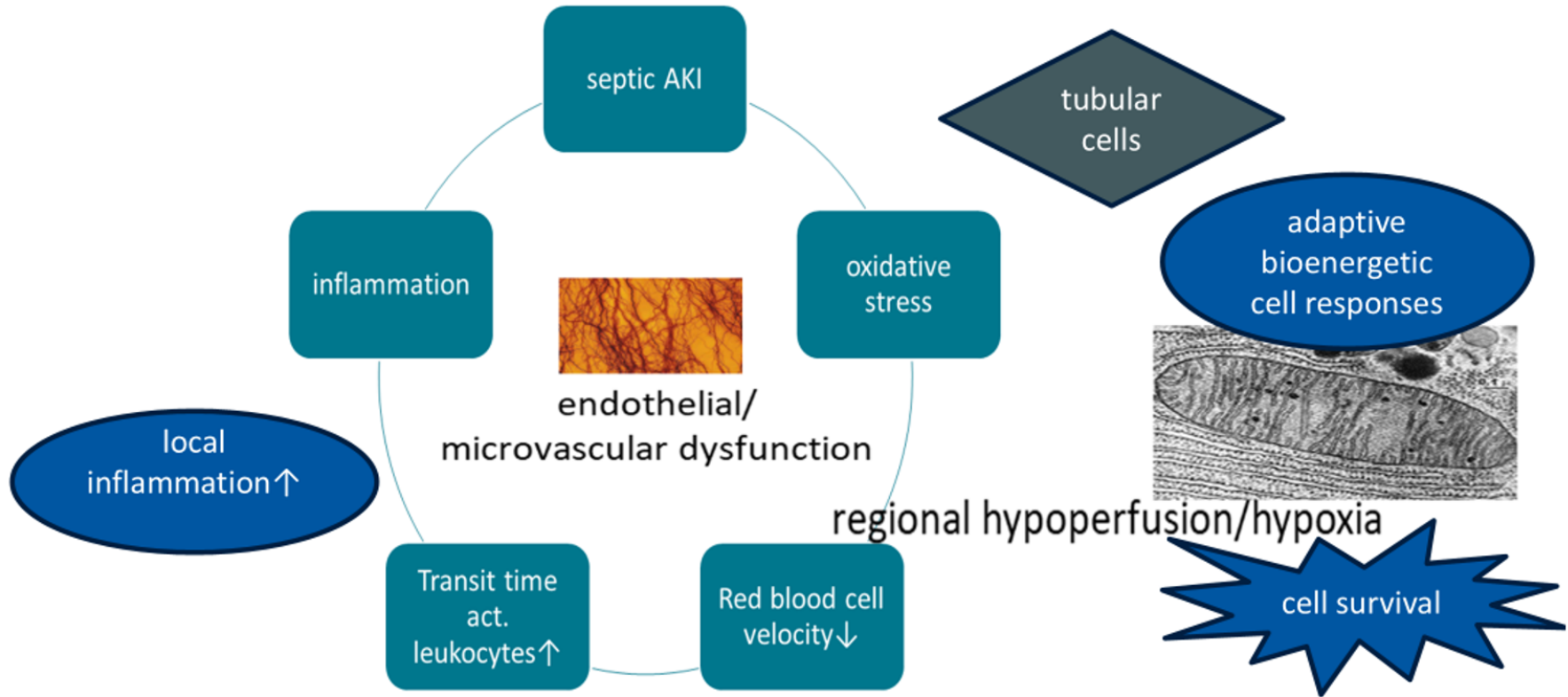


# Clinical utility of Nu.Q<sup>®</sup> in septic Acute Kidney Injury (AKI): Data from SISPECT

**Dr. Caroline Neumann,**

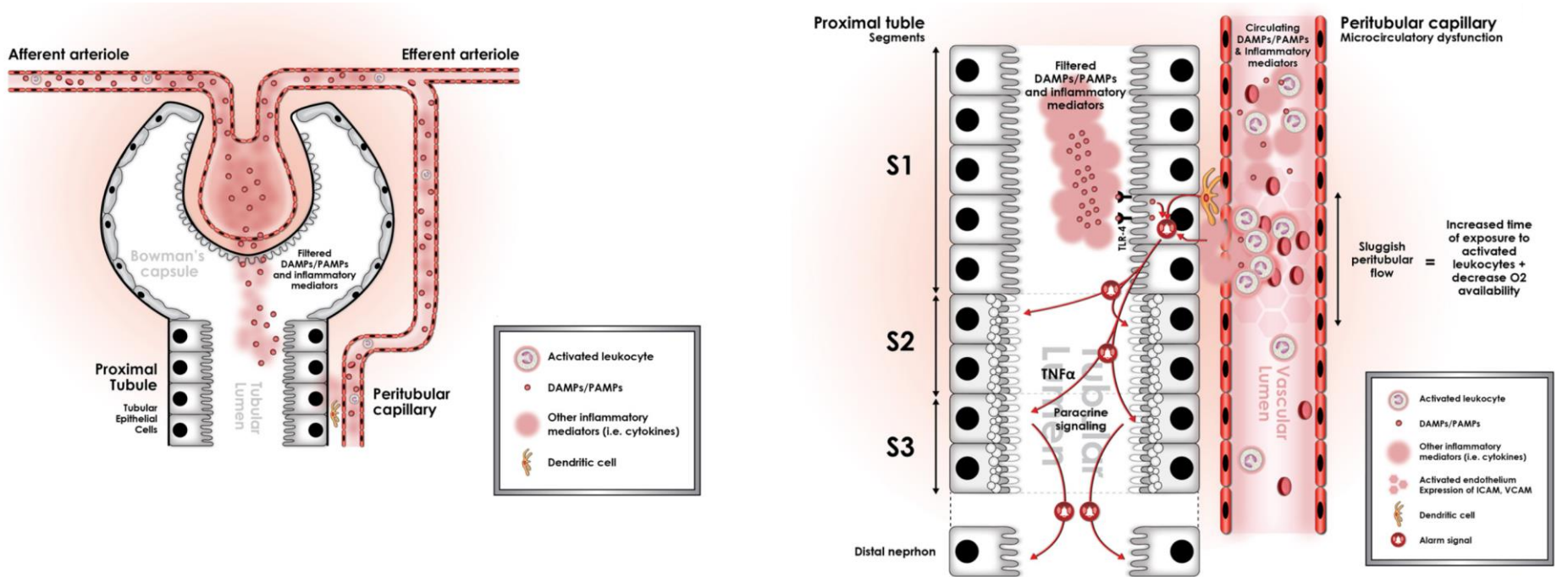
**DESA, EDIC, Infectious Diseases Specialist (DGI)  
Senior Intensive Care Consultant**

# A Unified Theory of Sepsis-Induced AKI



Adapted from Gomez H, Ince C, De Backer D, Pickkers P, Payen D, Hotchkiss J, Kellum JA. A unified theory of sepsis-induced acute kidney injury: inflammation, microcirculatory dysfunction, bioenergetics, and the tubular cell adaptation to injury. Shock. 2014 Jan;41(1):3-11. doi: [10.1097/SHK.0000000000000052](https://doi.org/10.1097/SHK.0000000000000052)

# A Unified Theory of Sepsis-Induced AKI

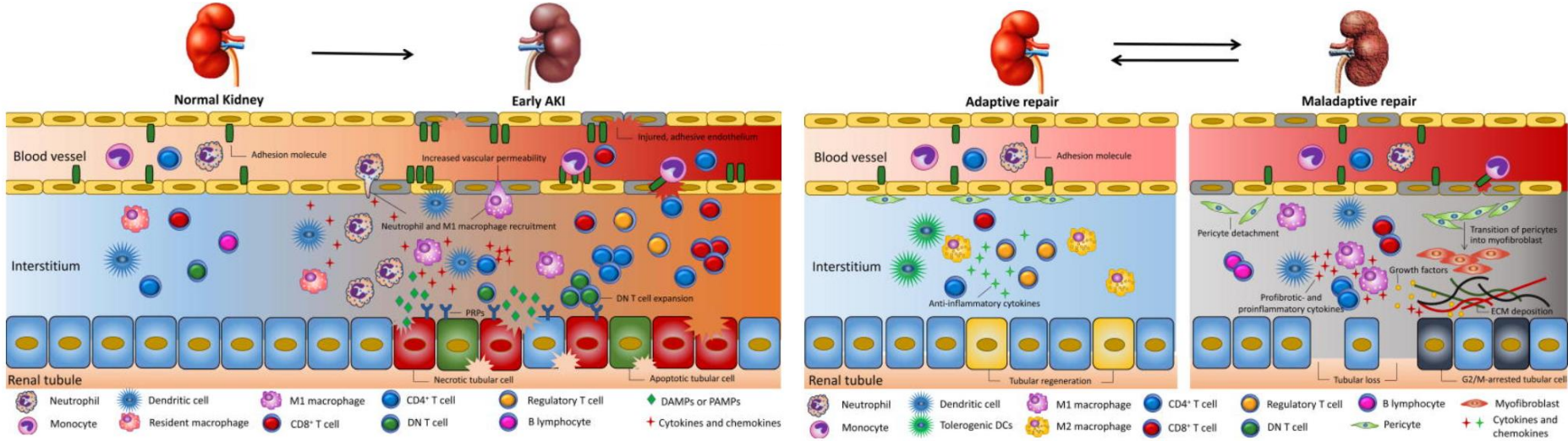


Gomez H, Ince C, De Backer D, Pickkers P, Payen D, Hotchkiss J, Kellum JA. A unified theory of sepsis-induced acute kidney injury: inflammation, microcirculatory dysfunction, bioenergetics, and the tubular cell adaptation to injury. Shock. 2014 Jan;41(1):3-11. doi: [10.1097/SHK.0000000000000052](https://doi.org/10.1097/SHK.0000000000000052)

The background is a gradient of teal colors. There are several abstract shapes: a large teal circle on the left, a smaller teal circle on the right, and a large, faint teal shape in the upper left. Large, dark teal quotation marks are positioned around the text.

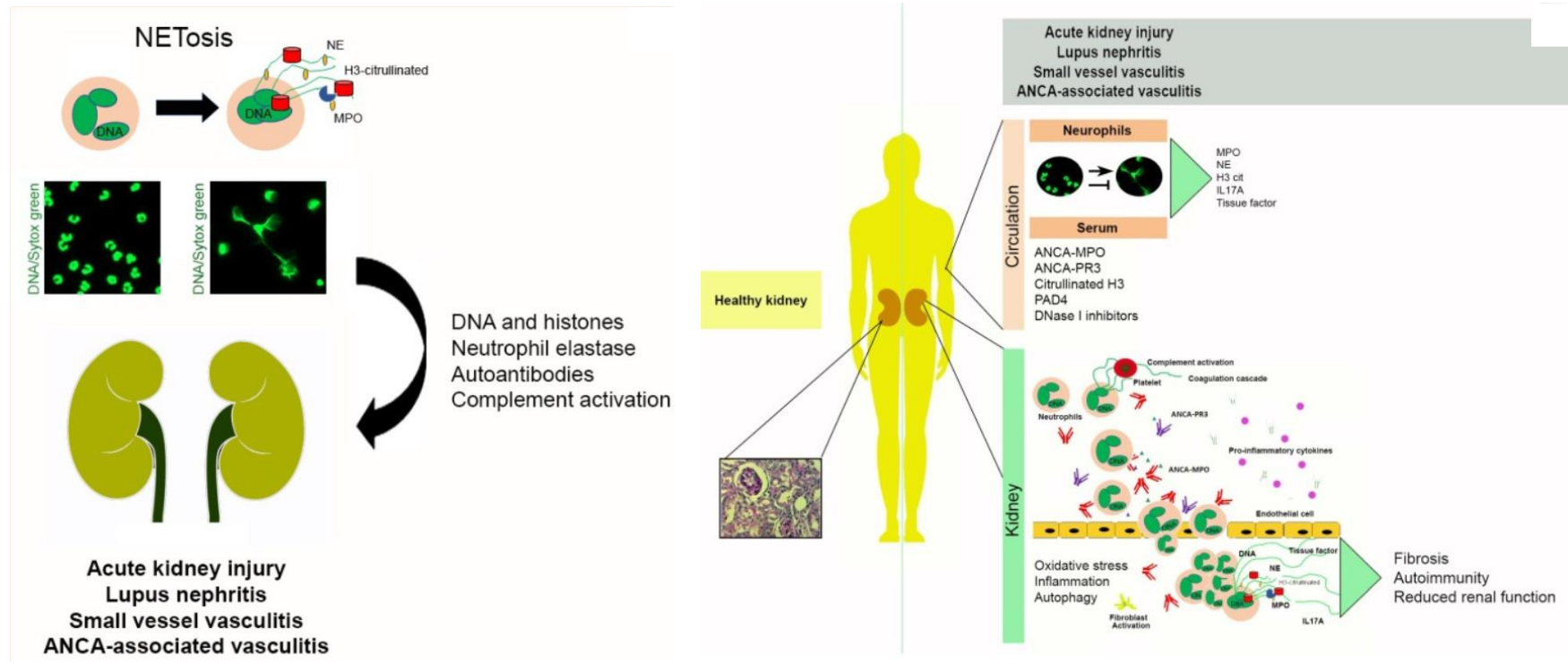
**The role of immune cells  
in early injury and repair  
from AKI**

# Role of Immune Cells in AKI and Repair



Lee SA, Noel S, Sadasivam M, Hamad ARA, Rabb H. Role of Immune Cells in Acute Kidney Injury and Repair. *Nephron*. 2017;137(4):282-286. doi: [10.1159/000477181](https://doi.org/10.1159/000477181)

# Neutrophil Extracellular Traps in the Establishment and Progression of Renal Disease



Salazar-Gonzalez H, Zepeda-Hernandez A, Melo Z, Saavedra-Mayorga DE, Echavarría R. Neutrophil Extracellular Traps in the Establishment and Progression of Renal Diseases. Medicina (Kaunas). 2019 Aug 2;55(8):431. doi: [10.3390/medicina55080431](https://doi.org/10.3390/medicina55080431)





# How to assess damage and failing repair?

# Observational study of the clinical utility of the nucleosome levels in septic acute kidney injury: improved classification and targeted intervention in AKI?

## Objectives and methods:

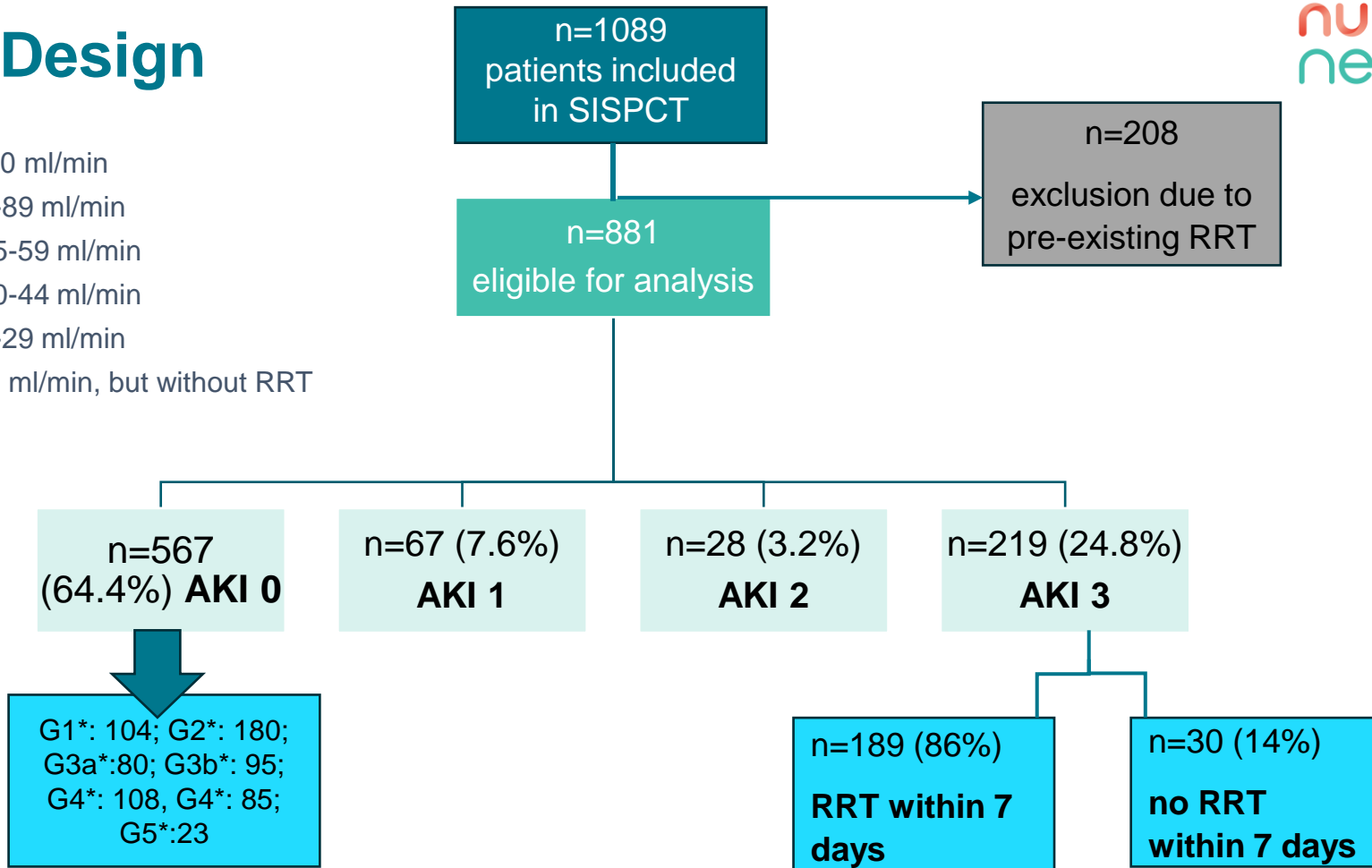
- secondary analysis of patients recruited for the SISPCT trial [1]
- evaluation of levels of circulating H3.1 nucleosomes (surrogate of NETosis):
  - association between the development of acute kidney injury (AKI) and its severity in patients with confirmed sepsis and septic shock

Bloos F, Trips E, Nierhaus A, Briegel J, Heyland DK, Jaschinski U, Moerer O, Weyland A, Marx G, Gründling M, Kluge S, Kaufmann I, Ott K, Quintel M, Jelschen F, Meybohm P, Rademacher S, Meier-Hellmann A, Utzolino S, Kaisers UX, Putensen C, Elke G, Ragaller M, Gerlach H, Ludewig K, Kiehntopf M, Bogatsch H, Engel C, Brunkhorst FM, Loeffler M, Reinhart K; for SepNet Critical Care Trials Group. Effect of Sodium Selenite Administration and Procalcitonin-Guided Therapy on Mortality in Patients With Severe Sepsis or Septic Shock: A Randomized Clinical Trial. *JAMA Intern Med.* 2016 Sep 1;176(9):1266-76. DOI: [10.1001/jamainternmed.2016.2514](https://doi.org/10.1001/jamainternmed.2016.2514)



# Study Design

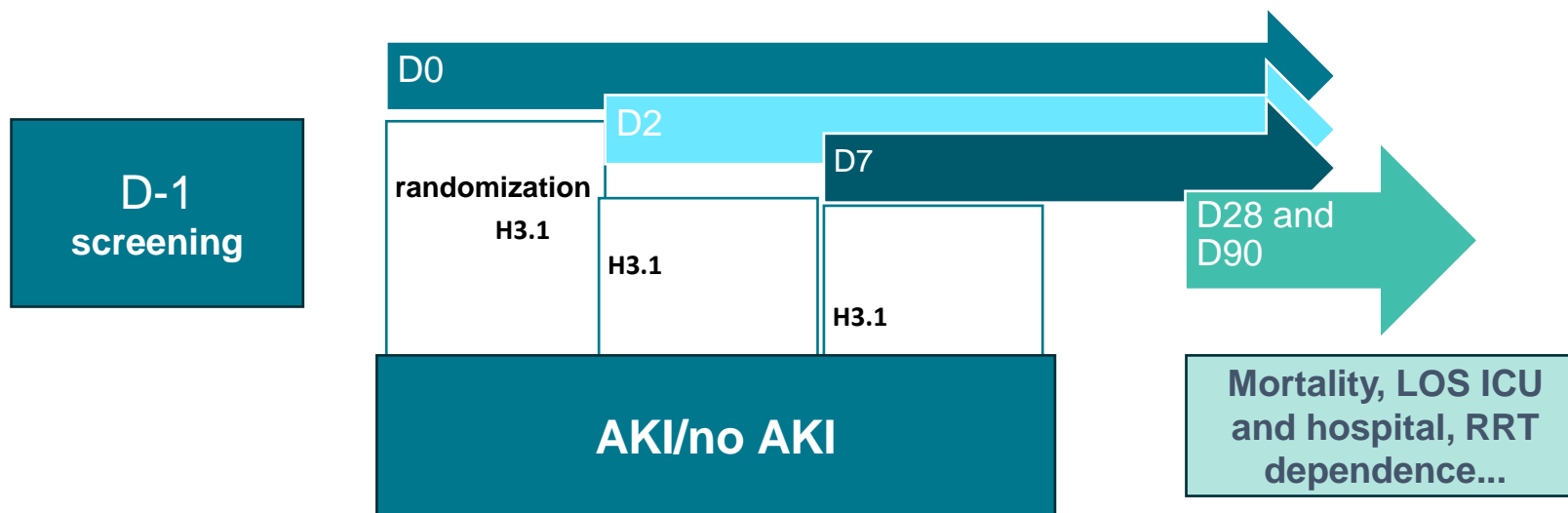
- \*G1: GFR  $\geq 90$  ml/min
- \*G2: GFR 60-89 ml/min
- \*G3a: GFR 45-59 ml/min
- \*G3b: GFR 30-44 ml/min
- \*G4: GFR 15-29 ml/min
- \*G5: GFR < 15 ml/min, but without RRT



German Data Set,  
data on file

# Measurement of H3.1 using Nu.Q<sup>®</sup> H3.1 Assay

H3.1 nucleosome levels were analyzed at admission and serially in frozen citrate plasma samples

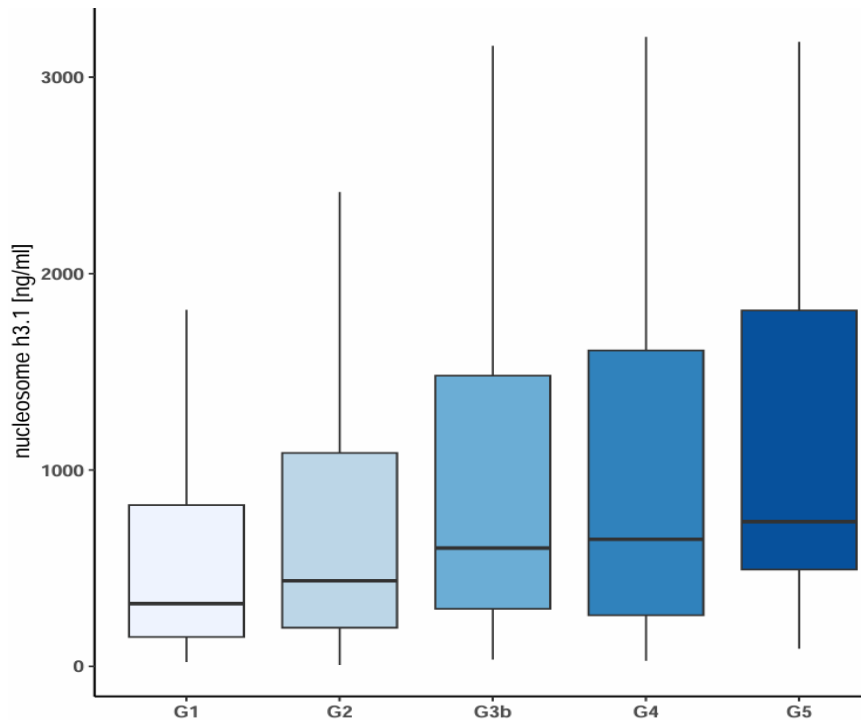


# SISPCT sub-cohort baseline characteristics

Variable	AKI 0 (n=567)	AKI 1 (n=67)	AKI 2 (n=28)	AKI 3 (n=219)
Male sex, No. (%)	365 (64%)	46 (69%)	18 (64%)	143 (65%)
Age, med. (SD), y	67 (56, 75)	71 (60, 77)	66 (56, 74)	70 (60, 76)
SOFA	9 (7, 11)	9 (8, 11)	8 (7, 10)	11 (9, 15)
Scr 24 h before study inclusion (µmol/l)	97 (71, 150)	80 (62, 115)	75 (49, 98)	194 (116, 278)
Max. Scr (µmol/l)	104 (71, 150)	141 (97, 186)	185 (104, 220)	248 (186, 351)
Lactate, med. (mmol/l)	2.2 (1.4, 3.6)	3 (1.7, 4.3)	1.8 (1.4, 4.1)	3.4 (2, 6.1)
proADM, med. (nmol/l)	3.4 (2, 5.5)	4.7 (2.3, 7.3)	3.8 (2.4, 5.9)	9.1 (6.1, 14)
Septic shock	270 (48%)	38 (57%)	11 (41%)	151 (70%)
Invasive mechanical ventilation	387 (68%)	51 (76%)	20 (71%)	176 (80%)

German Data Set, data on file

# H3.1 levels in patients with preexisting impaired kidney function without evolving AKI ( $p < 0.001$ )

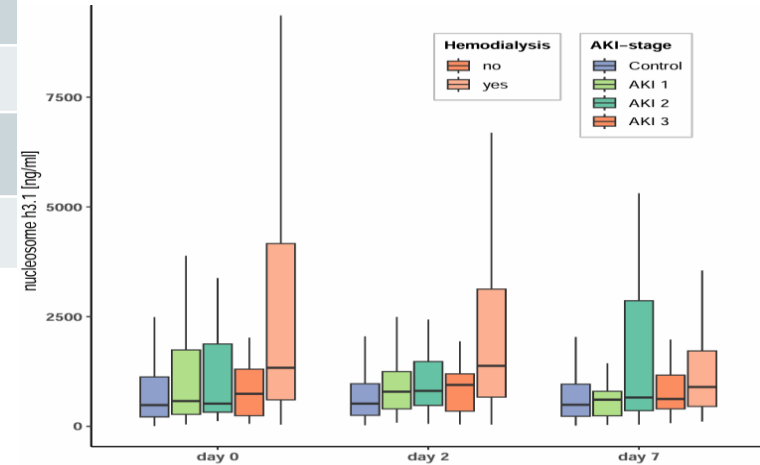
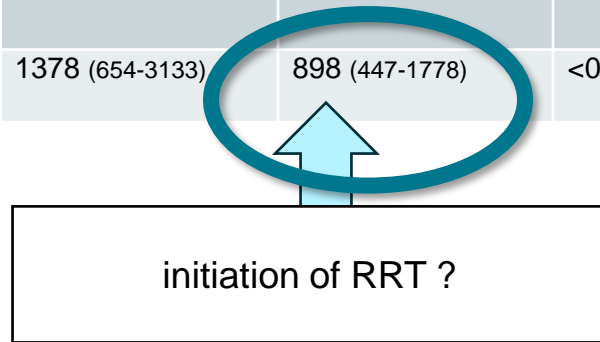


- G1: GFR  $\geq 90$  ml/min
- G2: GFR 60-89 ml/min
- G3a: GFR 45-59 ml/min
- G3b: GFR 30-44 ml/min
- G4: GFR 15-29 ml/min
- G5: GFR  $< 15$  ml/min

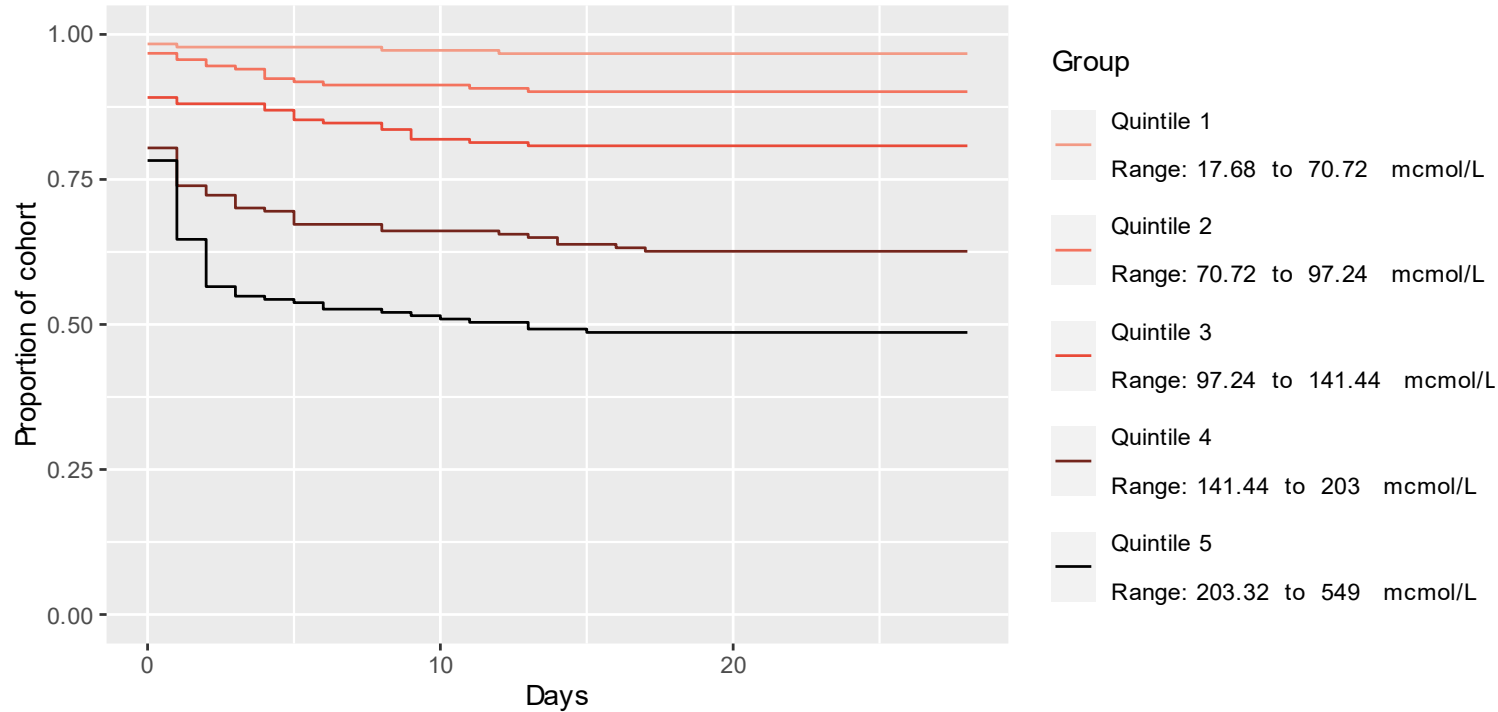
H3.1 levels in patients with preexisting impaired kidney function without evolving AKI ( $p < 0.001$ )

# H3.1 Nucleosome levels over time

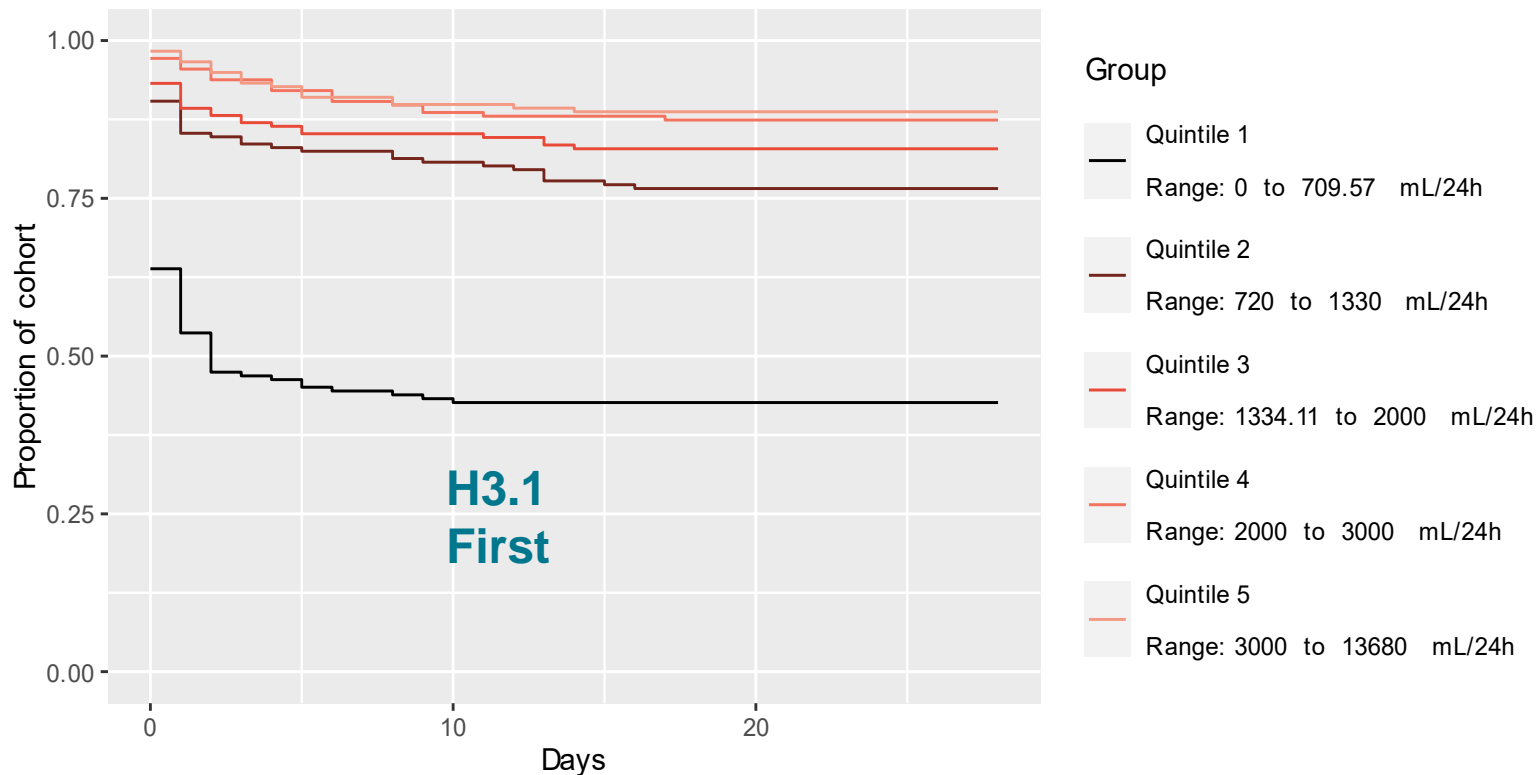
AKI stage	D0 (Nu.Q® Level)	D2 (Nu.Q® Level)	D7 (Nu.Q® Level)	p-value
0	484 (216-1127)	518 (249-974)	492 (229-969)	0.785
1	577 (266-1881)	790 (393-1319)	608 (234-820)	0.084
2	518 (319-1917)	809 (477-1477)	658 (356-2864)	0.574
3	1151 (509-3797)	1169 (611-2881)	885 (438-1641)	0.001
3 without RRT	741 (242-1362)	944 (345-1198)	625 (399-1166)	0.924
3 with RRT	1335 (604-4165)	1378 (654-3133)	898 (447-1778)	<0.001



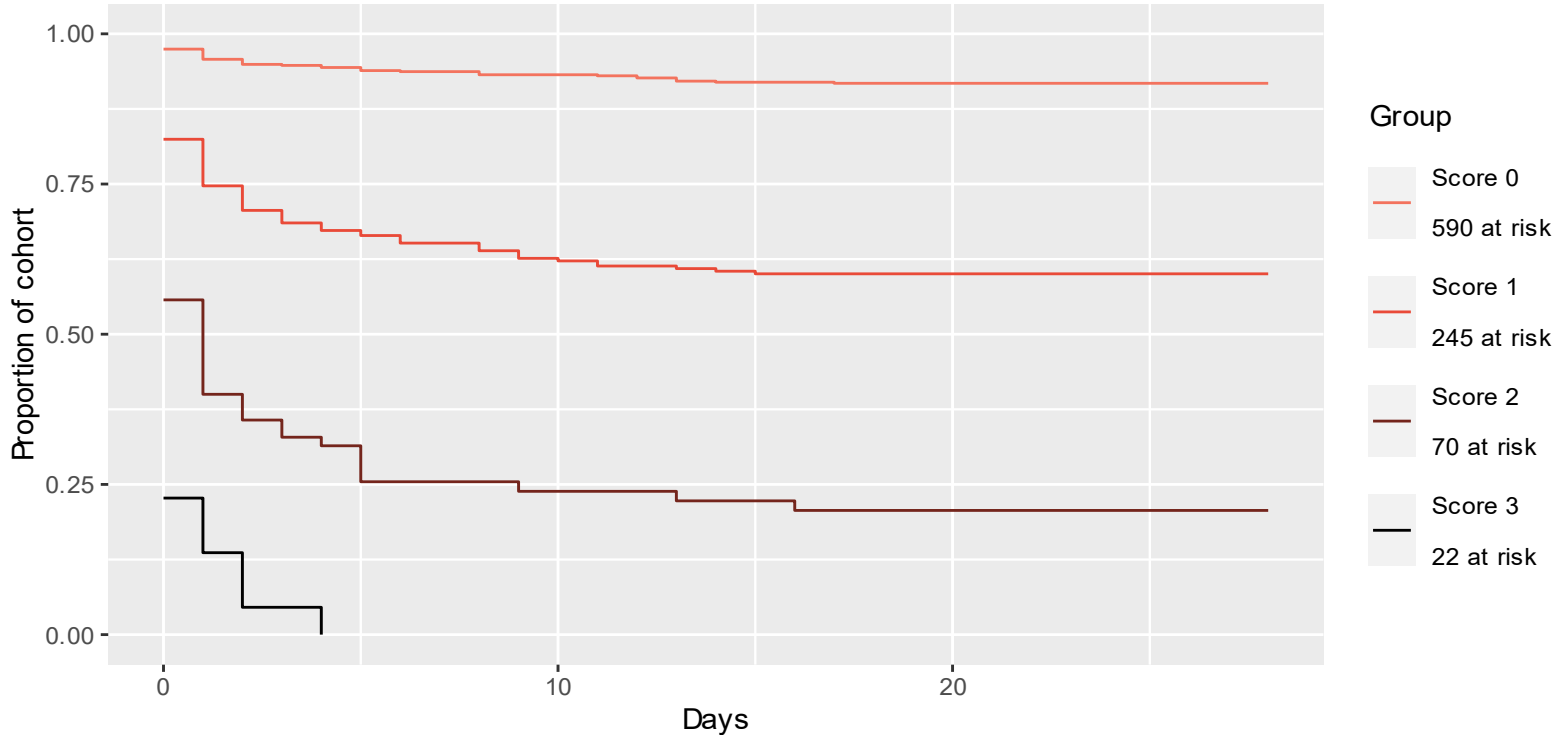
# Kaplan-Meier: Quintiles of Creatinine first for AKI Stage 4 at 28 days



# Kaplan-Meier: Quintiles of H3.1 First for AKI Stage 4 at 28 days



# Clinical Model of H3.1 Dose + Platelets + Urine24hr for AnyRRT28



German Data Set, data on file



# Kidney Failure: summary

- Sepsis-induced AKI involves complex pathophysiology, with NETs playing a crucial role.
- Nu.Q<sup>®</sup> H3.1, as a marker of NETosis, shows promise as a biomarker in this context.
- H3.1 levels correlate with AKI severity and show distinct temporal patterns, particularly in severe AKI requiring RRT.
- Compared to creatinine, H3.1 offers improved early risk stratification for RRT requirements.
- A clinical model incorporating H3.1, platelet count, and urine output demonstrates strong predictive performance for RRT needs.
- **These findings open up exciting possibilities for improving the management of sepsis-induced AKI.**

# Respiratory Failure

Dr. Andrew Retter



ISHT MEETING

## Restoring discarded porcine lungs by ex vivo removal of neutrophil extracellular traps

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Mittendorfer

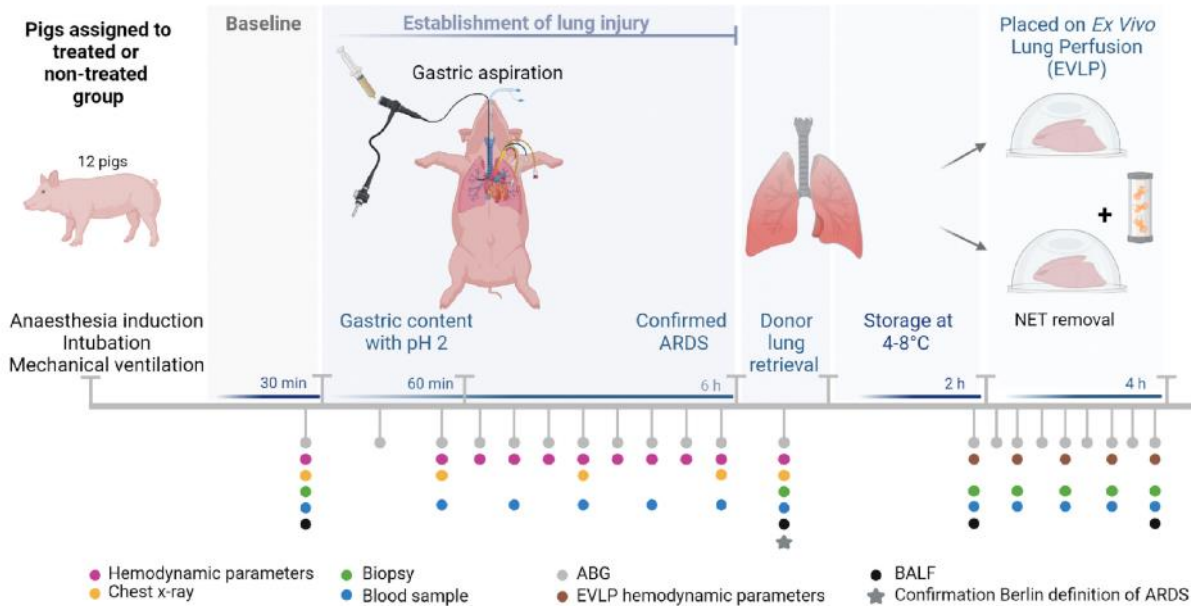
Pigs assisted  
treated  
non-treated  
groups

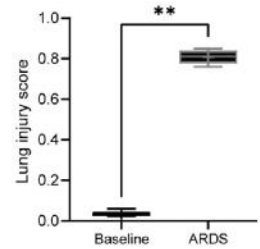
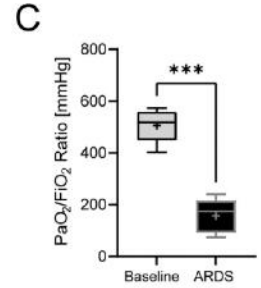
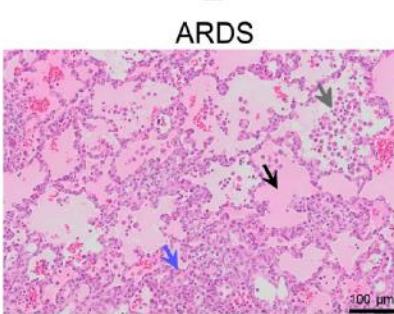
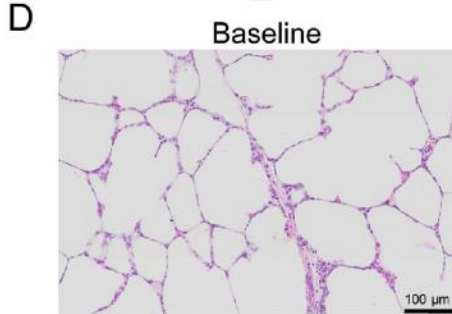
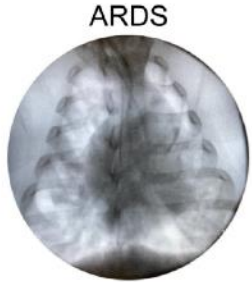
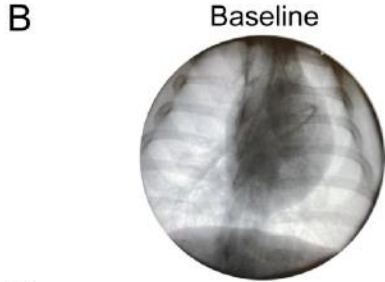
12 p



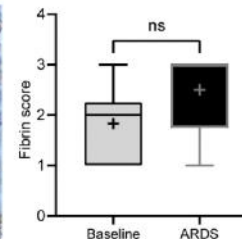
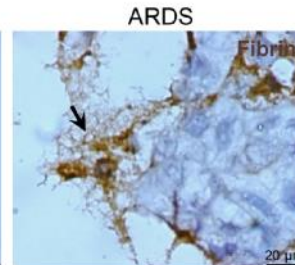
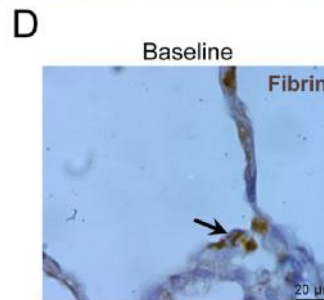
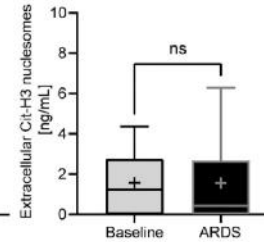
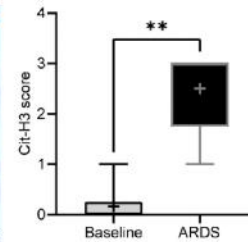
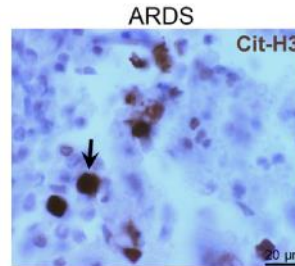
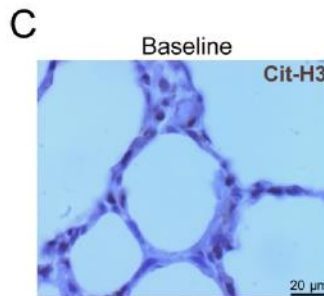
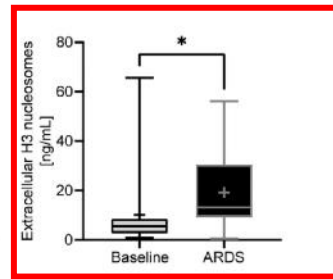
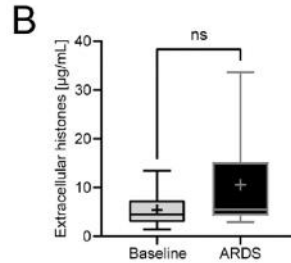
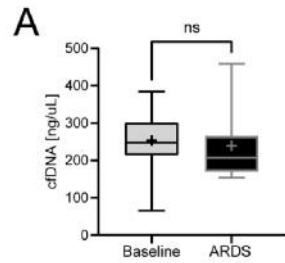
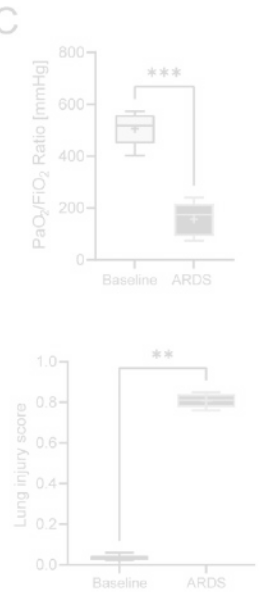
Anaesthesia  
Intubation  
Mechanical

Mittendorfer et al. Removal of NETs Restored Damaged Porcine Donor Lungs





Restoring discarded porcine lungs by ex vivo removal of neutrophil extracellular traps. Mittendorfer, Margareta et al. The Journal of Heart and Lung Transplantation, Volume 0, Issue 0. <https://doi.org/10.1016/j.healun.2024.07.007>



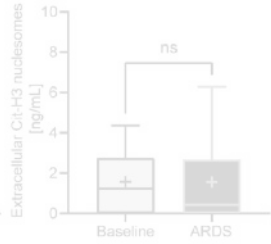
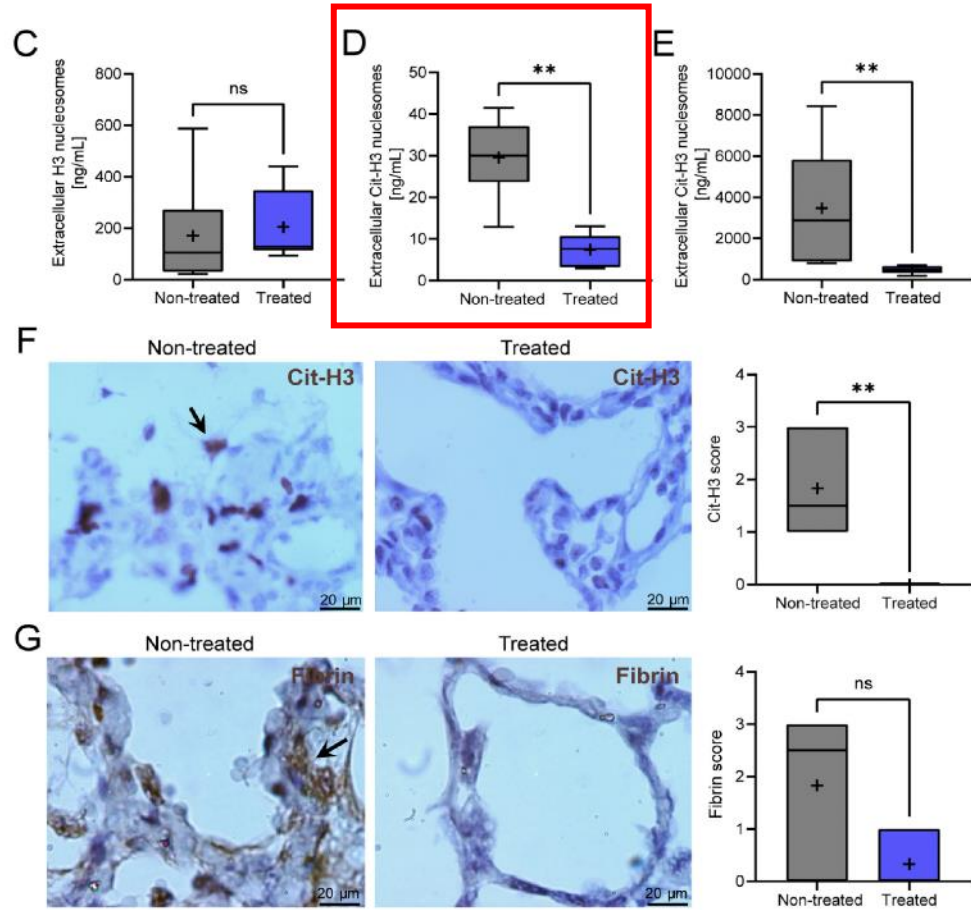
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Restoring discarded porcine lungs by ex vivo removal of neutrophil extracellular traps. Mittendorfer, Margareta et al. The Journal of Heart and Lung Transplantation, Volume 0, Issue 0. <https://doi.org/10.1016/j.healun.2024.07.007>



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# Our human data is consistent with this animal model of ARDS

## Respiratory parameters at time of admission and ARDs

				Nu.Q <sup>®</sup> H3.1 levels Sepsis	Nu.Q <sup>®</sup> H3.1 levels Septic Shock	Statistical
No ARDs	138 (14.2%)	70 (15.8%)	63 (12.1%)	285.7	647.8	0.0017
Mild ARDs	201 (20.7%)	102 (23%)	96 (18.5%)	396.3	646.7	0.0044
Moderate ARDs	436 (44.9%)	193 (43.6%)	243 (46.7%)	465.5	921.6	***
Severe ARDs	196 (20.2%)	78 (17.6%)	118 (22.7%)	540.1	1,306	***

Data on file



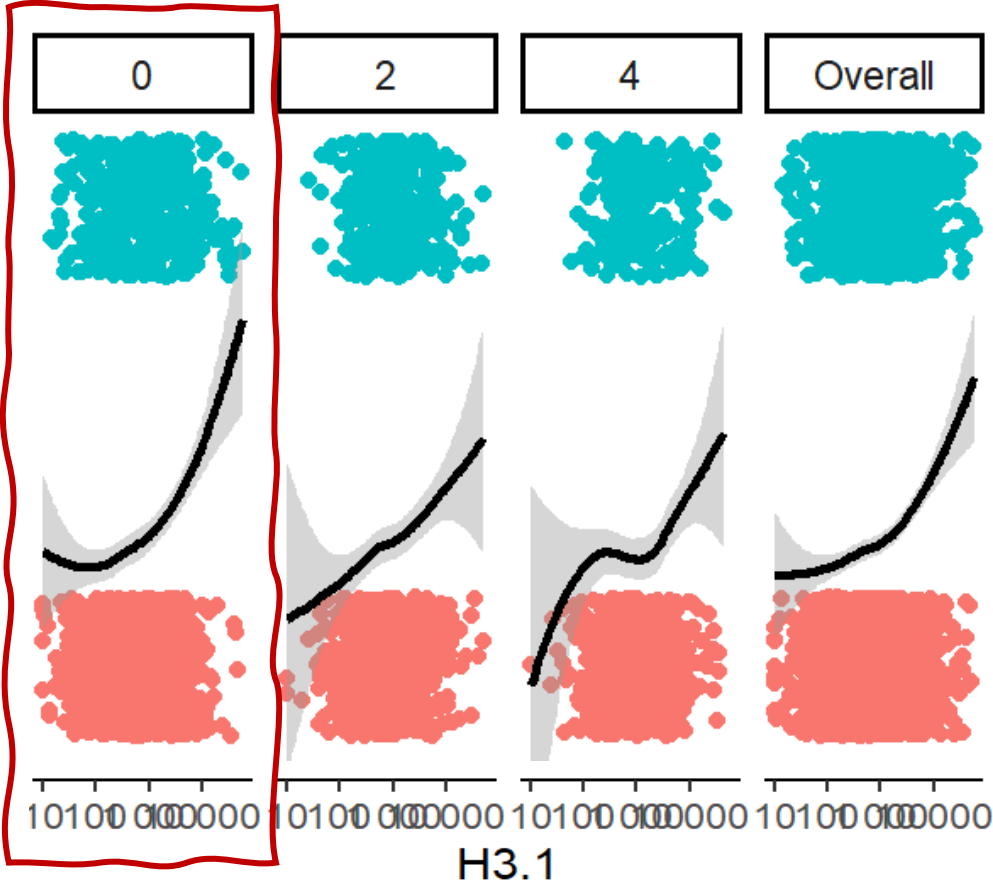
# Results from other Clinical Studies

Dr. Andrew Retter

# Studies at Centers of Excellence: >3000 patients

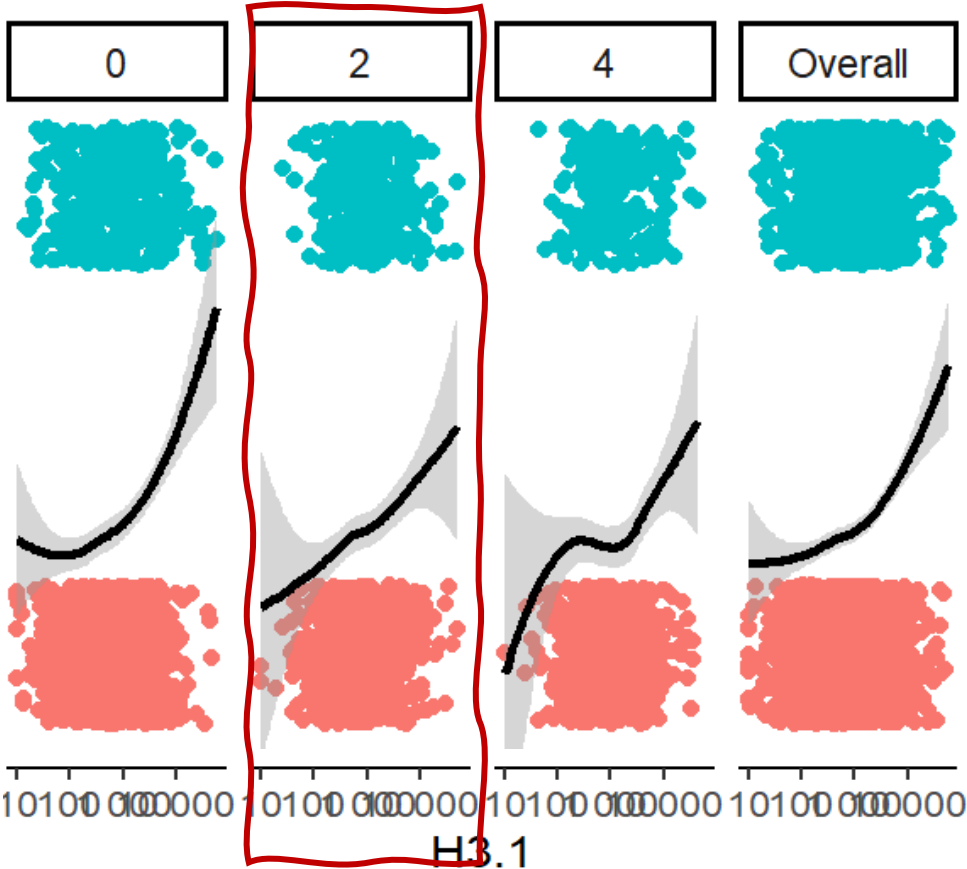
Study	Country	Description	Cohort Size
SISPCT	Germany	Retrospective analysis of prospectively collected cohort	971 intensive care patients Multiple timepoints
Amsterdam UMC	Netherlands	Retrospective analysis of prospectively collected cohort	1,713 intensive care patients Multiple timepoints
RHU RECORDS	France	Prospective, multi-center, placebo controlled, bio-marker-guided, adaptive Bayesian design basket trial	1,500 intensive care patients Interim analysis of 416 patients

# Relationship with mortality



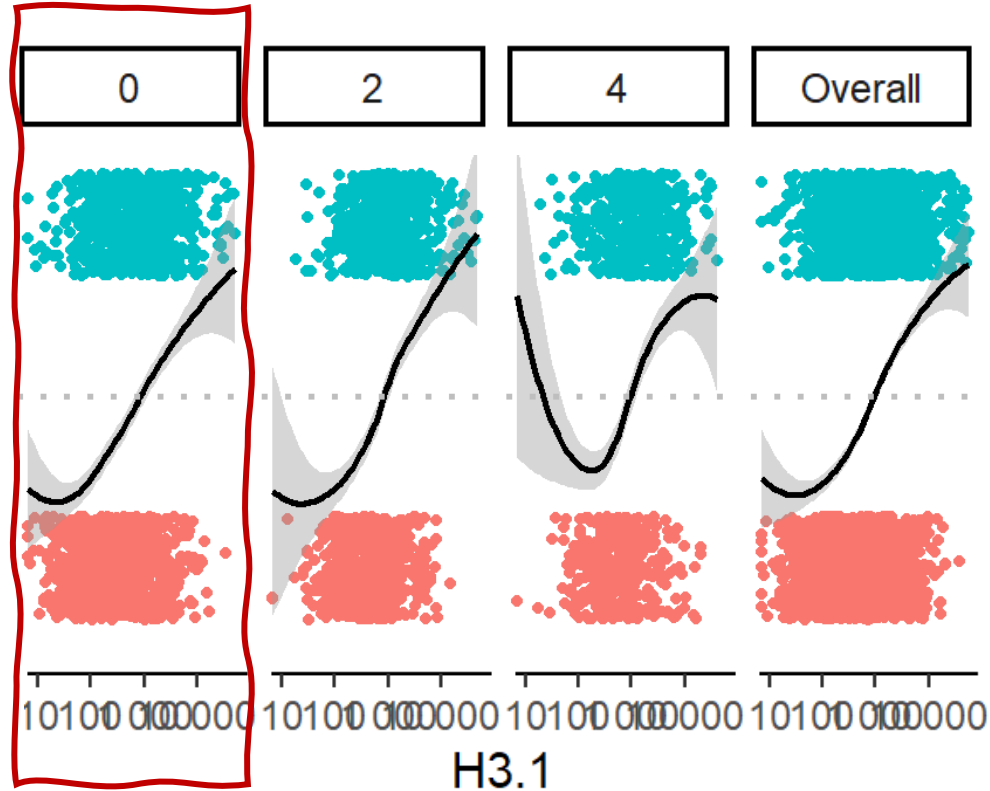
Amsterdam UMC Data Set, data on file

# Relationship with mortality



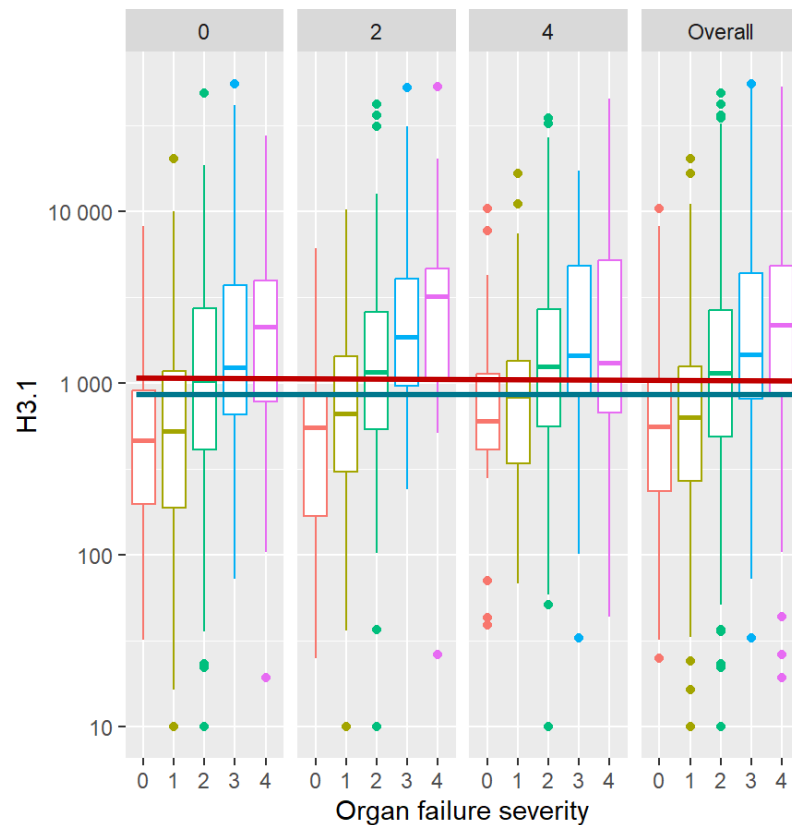
Amsterdam UMC Data Set, data on file

# Relationship with AKI



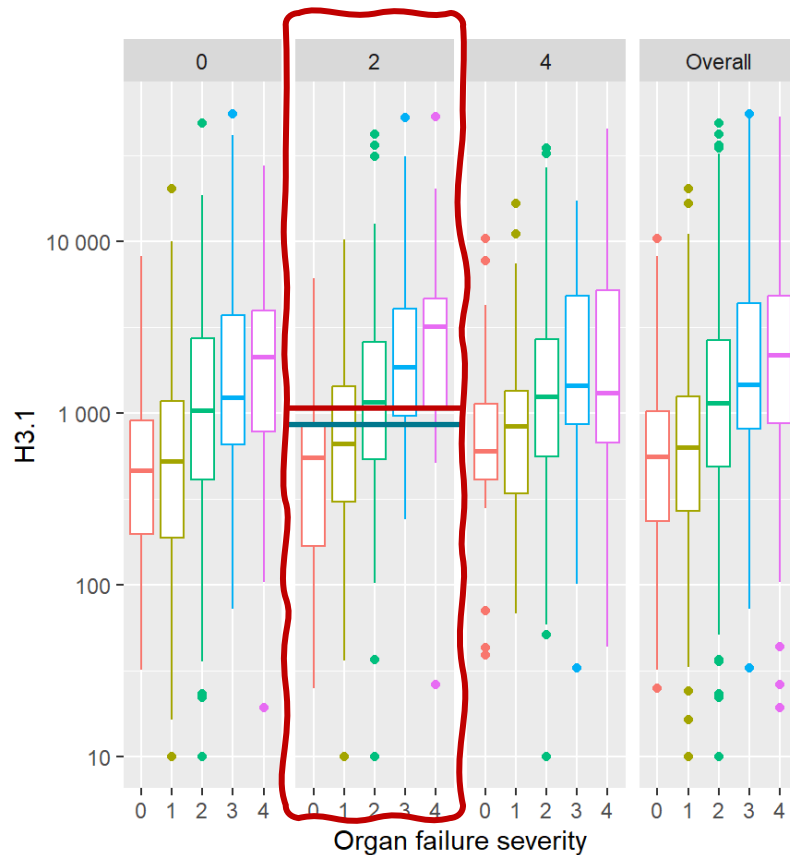
Amsterdam UMC Data Set, data on file

# Total number of organ failures



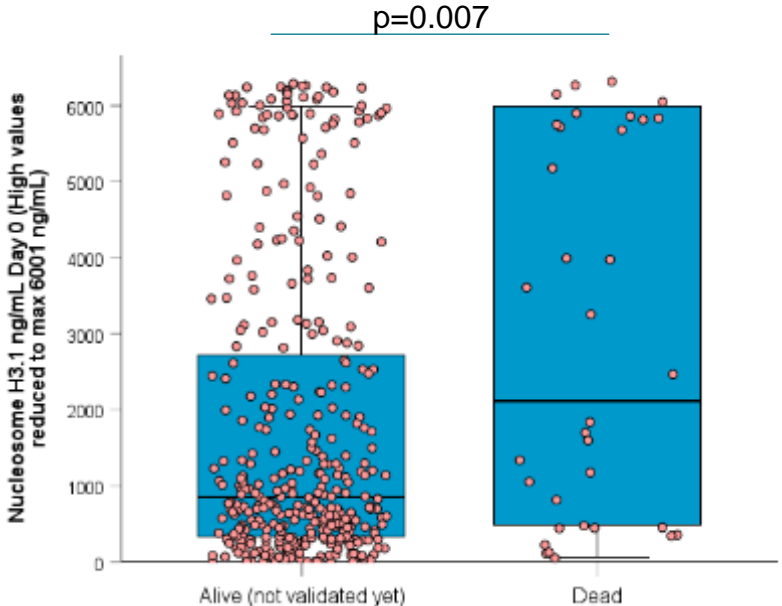
Amsterdam UMC Data Set, data on file

# Total number of organ failures



Amsterdam UMC Data Set, data on file

# H3.1 levels and outcome: Higher initial level of H3.1-nucleosomes in patient who will die (7 days follow-up)

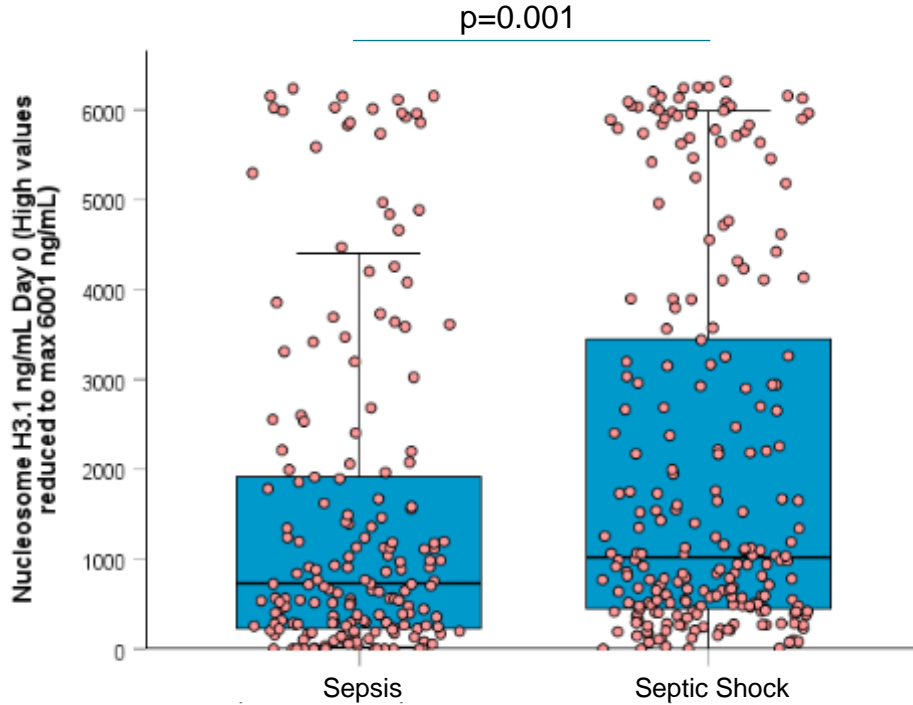


	Alive	Dead
n=	374	34
Median (ng/ml)	846	2106,8

Records Data Set, data on file



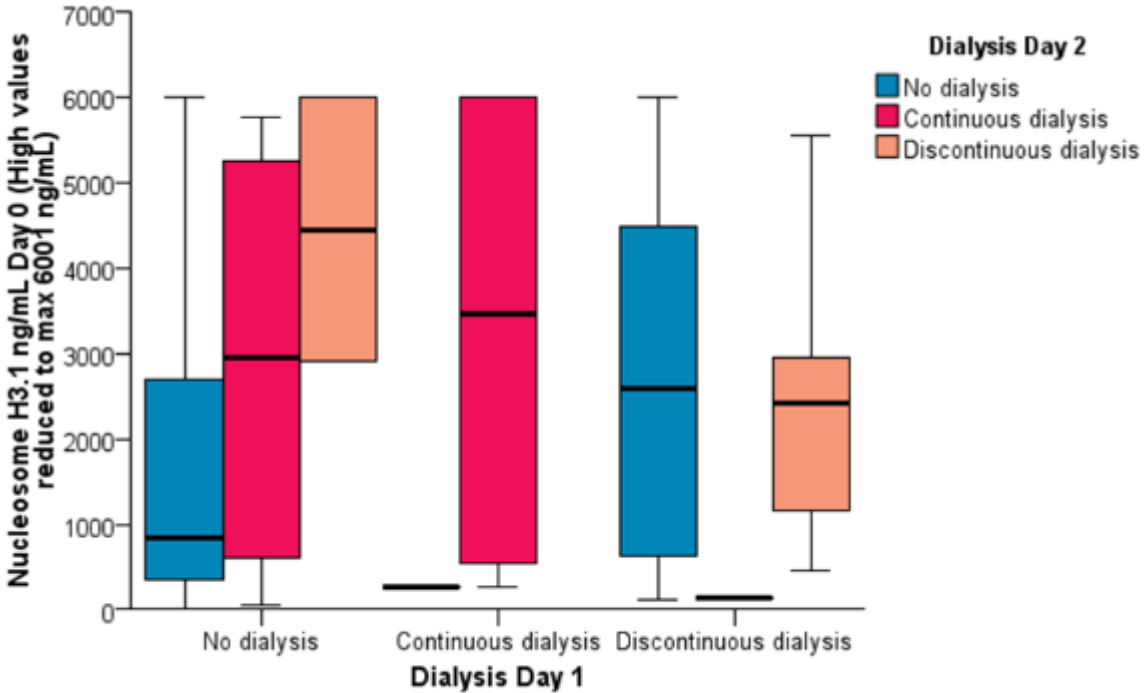
# Higher level of H3.1 in septic shock group



## RECORDS

	Sepsis	Septic Shock
n=	183	233
Median (ng/ml)	727	1029

# H3.1 levels at admission is higher in patient who will need RRT at Day 1 and/or Day 2



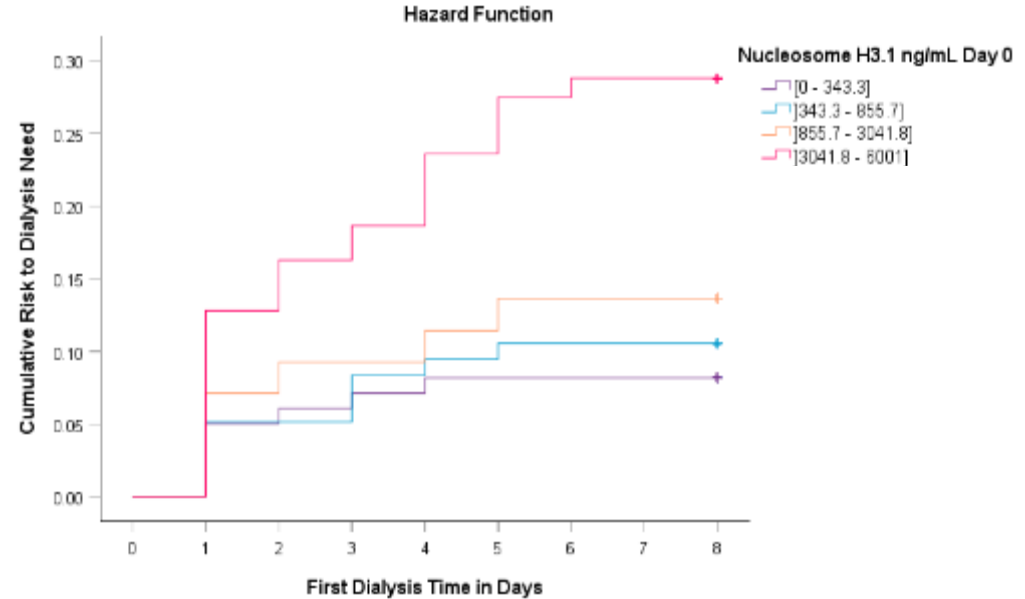
DAY 1	No dialysis	Continuous	Discontinuous
n=	375	18	11
Median (ng/ml)	847,1	3460	2424,4

Records Data Set, data on file

# The risk to need any RRT increases with the level of nucleosomes at Day 0

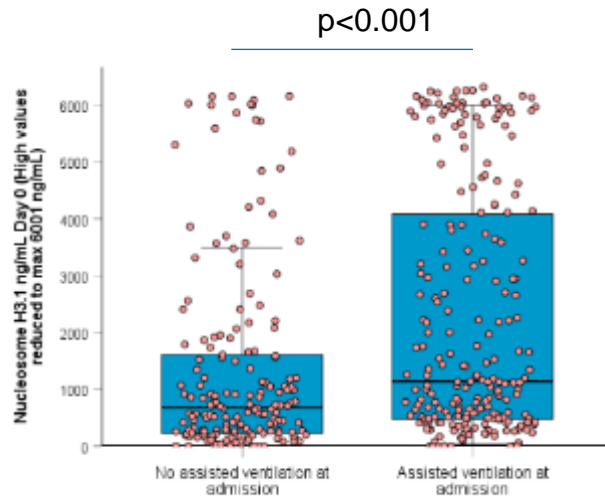
56 patients had at least one (any) RRT within 7 Days

	Frequency	Percent
No dialysis	348	83.7
Dialysis	56	13.5
Unknown	12	2.9
Total	416	100.0

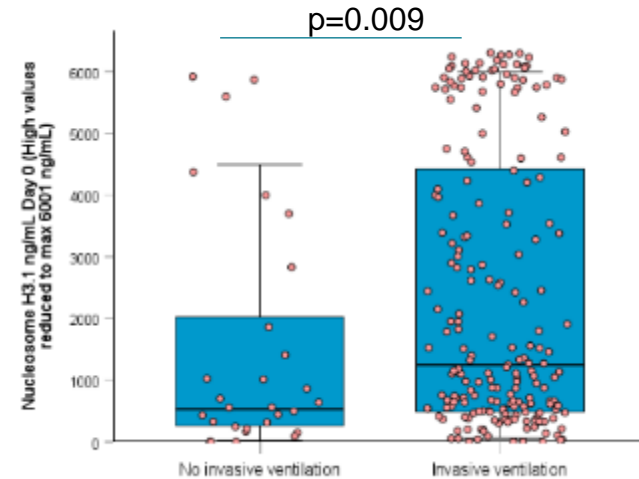


→ The distributions are **significantly** ≠ between categories ( $p = 0,002$ )

# Higher level of H3.1-nucleosomes in patient who required respiratory support (at admission)



	No assisted ventilation	Assisted ventilation
n=	181	235
Median (ng/ml)	673,4	1132,4



	No invasive ventilation	Invasive ventilation
n=	29	206
Median (ng/ml)	528,6	1261,9

# Concluding Remarks

Professor Djillali Annane

# Studies at Centers of Excellence: >3000 patients

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# Executive Summary: consolidated conclusions

Results from three independent studies totalling over 3,000 patients

These findings are consistent across cohorts<sup>1-3</sup>

**An elevated H3.1 level** reflects a dysregulated immune response and is associated with:

- a risk of **increased mortality**
- an increased risk of **septic shock**
- an increased risk of **(multi-) organ failure**
- an increased risk of **ARDS**
- an increased risk of **renal failure**

...could be thought of as a **Treatable Trait** in sepsis management

# Question & Answer Session

